#### Edgar Filing: MEYERCORD EDWARD - Form 4

#### MEYERCORD EDWARD

Form 4

November 28, 2018

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB

Number: 3235-0287

ington, D.C. 20549 Number: Expires:

3235-0287 January 31,

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

See Instruction

1(b).

Common

Common

Stock

Stock

11/23/2018

11/23/2018

(Print or Type Responses)

| ss of Reporting Po<br>EDWARD     | Symbol<br>EXTRE                                    | EME NET   |   | Č  | Issuer  | , ,  | ,  |
|----------------------------------|--|---|---|--|---|--|--|
| (First) (Mi                      | (Month/I   | Day/Year)   | ansaction   |  | below)  | e titleOtho  |  |
| (Street)                         |  |   | Č   |  | Applicable Line)  | •  |  |
| 95119                            |  |   |   |  |   |  |  |
| (State) (Z                       | Zip) Tab   | le I - Non-D  | erivative S   | ecurities Acq  | quired, Disposed o  | f, or Beneficial   | lly Owned  |
| Transaction Date fonth/Day/Year) | Execution Date, if any                             | Code (Instr. 8)   | on(A) or Dis<br>(D)<br>(Instr. 3, 4   | sposed of<br>4 and 5)<br>(A)<br>or   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)  | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)   | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |
|                                  | EDWARD  (First) (Mi ORO (Street)  95119 (State) (Z | EDWARD  EXTRE [EXTR (First) (Middle) 3. Date o (Month/II  ORO 11/23/2  (Street) 4. If Ame Filed(Month/II  (State) (Zip) Table  Transaction Date onth/Day/Year) 2A. Deemed onth/Day/Year) Execution Date, if any | EDWARD  Symbol  EXTREME NET  [EXTR]  (First) (Middle) 3. Date of Earliest Tra  (Month/Day/Year)  ORO 11/23/2018  (Street) 4. If Amendment, Day  Filed(Month/Day/Year)  (State) (Zip) Table I - Non-D  Transaction Date 2A. Deemed 3.  onth/Day/Year) Execution Date, if Transaction any Code  (Month/Day/Year) (Instr. 8) | Symbol EXTREME NETWORKS [EXTR]  (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)  ORO 11/23/2018  (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)  95119  (State) (Zip) Table I - Non-Derivative S  Transaction Date 2A. Deemed 3. 4. Securit onth/Day/Year) Execution Date, if Transaction(A) or Disany Code (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4) | Symbol EXTREME NETWORKS INC [EXTR]  (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)  ORO 11/23/2018  (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)  95119  (State) (Zip) Table I - Non-Derivative Securities According to the Conth/Day/Year)  Fransaction Date 2A. Deemed 3. 4. Securities Acquired onth/Day/Year) Execution Date, if Transaction(A) or Disposed of any Code (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)  (A) or | EDWARD  Symbol  EXTREME NETWORKS INC  [EXTR]  (First) (Middle) 3. Date of Earliest Transaction  (Month/Day/Year)  ORO  11/23/2018  PRESI  (Street) 4. If Amendment, Date Original  Filed(Month/Day/Year)  Applicable Line  X_ Form filed by 1  Person  (State)  (State)  (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of onth/Day/Year)  Execution Date, if Transaction(A) or Disposed of any  Code  (D)  (Month/Day/Year)  (A)  Owned  Following  Reported  Transaction(s)  (Instr. 3 and 4) | EDWARD  Symbol  EXTREME NETWORKS INC  [EXTR]  (Check all applicable EXTREME NETWORKS INC  [EXTR]  (First) (Middle) 3. Date of Earliest Transaction  (Month/Day/Year)  ORO  11/23/2018  PRESIDENT AND Cl  (Street) 4. If Amendment, Date Original  Filed(Month/Day/Year)  Priled(Month/Day/Year)  Symbol  EXTREME NETWORKS INC  (Check all applicable inc)  —X_ Director ———————————————————————————————————— |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

M

F

12,218

5,664

(1)

A

D

\$0

\$0

11/23/2018

11/23/2018

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

500,505

494,841

D

D

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | tive Expiration Date ies (Month/Day/Year) ed (A) posed of |                    | 7. Title and Amount o<br>Underlying Securities<br>(Instr. 3 and 4) |                                    |
|---|---|---|---|---|---|---|--------------------|--|------------------------------------|
|   |   |   |   | Code V                                  | ,   | Date Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Share |
| RSU<br>Award  | \$ 0  | 11/23/2018                              |   | M                                       | 12,218  | 08/23/2018(2)   | 08/23/2020         | Common<br>Stock  | 12,218                             |

# **Reporting Owners**

| Reporting Owner Name / Address                             | Relationships |           |                      |       |  |  |  |
|--|---------------|-----------|----------------------|-------|--|--|--|
|  | Director      | 10% Owner | Officer              | Other |  |  |  |
| MEYERCORD EDWARD<br>6480 VIA DEL ORO<br>SAN JOSE, CA 95119 | X             |           | PRESIDENT<br>AND CEO |       |  |  |  |

## **Signatures**

Quentin Wright, Power of Attorney 11/28/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld from the RSU award for the payment of applicable income and payroll withholding taxes due on release.
- (2) This time based RSU award vests as to 1/3 on 8/23/2018 and 1/12 each quarter thereafter.
- (3) This is not an applicable reportable field for this type of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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