

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

ALLIANT ENERGY CORP
Form U-9C-3
November 20, 2003

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended September 30, 2003

Filed Pursuant to Rule 58 of the Public Utility Holding Company
Act of 1935

ALLIANT ENERGY CORPORATION
4902 N. Biltmore Lane
Madison, Wisconsin 53718-2132
608-458-3311

ALLIANT ENERGY CORPORATION

FORM U-9C-3

For the Quarter Ended September 30, 2003

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

CONTENTS

ITEM 1 - Organization Chart

ITEM 2 - Issuances and Renewals of Securities and Capital Contributions

ITEM 3 - Associate Transactions

ITEM 4 - Summary of Aggregate Investment

ITEM 5 - Other Investments

ITEM 6 - Financial Statements and Exhibits

SIGNATURES

EXHIBIT A

ITEM 1 - ORGANIZATION CHART
(As of September 30, 2003)

Name of Company (Organization Chart Hierarchy) (Inactive companies denoted with (*)) (Reporting companies denoted with (**))	Energy- or Gas- Related Company	Date of Organization	State Organiza
Alliant Energy Corporation	N/A	N/A	N/A
Alliant Energy TransCo LLC	N/A	N/A	N/A
TRANSLink Development Company LLC (**)	Energy	6/7/02	Delawa
Distribution Vision 2010, LLC (**)	Energy	10/2/01	Delawa
Wisconsin Power and Light Company	N/A	N/A	N/A
Dais Analytic Corporation (**)	Energy	4/19/93	New Yo
Alliant Energy Resources, Inc.	N/A	N/A	N/A
ADI Thermal Power Corporation (**)	Energy	8/11/97	Washing
American Superconductor Corporation (**)	Energy	4/9/87	Delawa
AnAerobics, Inc. (**)	Energy	5/8/96	Delawa

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

AstroPower, Inc. (**)	Energy	4/28/89	Delawa
CellTech Power, Inc. (**)	Energy	5/1/00	Delawa
Nth Power Technologies Fund II, LP (**)	Energy	2/25/00	Califor
Proton Energy Systems, Inc. (**) (Also owned by AER Holding Company)	Energy	8/16/96	Delawa
SmartEnergy, Inc. (*) (**)	Energy	4/15/99	Delawa
STM Power, Inc. (**)	Energy	3/17/03	Delawa
AER Holding Company	N/A	N/A	N/A
Proton Energy Systems, Inc. (**) (Also owned by Alliant Energy Resources, Inc.)	Energy	8/16/96	Delawa
Alliant Energy Integrated Services Company	N/A	N/A	N/A
Industrial Energy Applications, Inc. (**)	Energy	9/22/83	Iowa
BFC Gas Company LLC (**)	Energy	12/7/95	Iowa
Energys, Inc. (**)	Energy	6/25/84	Wiscons
RMT, Inc. (**)	Energy	7/29/83	Wiscons
RMT North Carolina, Inc. (**)	Energy	4/6/69	North Car
RMT, Inc., Michigan (**)	Energy	12/15/95	Michig
RMT International, Inc. (**)	Energy	10/9/97	Wiscons
Heartland Energy Group, Inc. (**)	Energy	6/1/95	Wiscons
Industrial Energy Applications Delaware, Inc.	N/A	N/A	N/A
Oak Hill Pipeline LP (**)	Energy	4/1/97	Texas
Alliant South Texas Pipeline, LP (**) (Also owned by Alliant Energy Field Services, LLC)	Energy	4/20/99	Texas
Alliant Energy Desdemona, LP (**) (Also owned by Alliant Energy Field Services, LLC)	Energy	2/14/00	Delawa
Bastian Bay Pipeline, LP (**) (Also owned by Alliant Energy Field Services, LLC)	Energy	8/31/00	Texas
Alliant Energy Field Services, LLC	N/A	N/A	N/A
Alliant South Texas Pipeline, LP (**) (Also owned by Industrial Energy Applications Delaware, Inc.)	Energy	4/20/99	Texas

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Alliant Energy Desdemona, LP (**) (Also owned by Industrial Energy Applications Delaware, Inc.)	Energy	2/14/00	Delawa
Bastian Bay Pipeline, LP (**) (Also owned by Industrial Energy Applications Delaware, Inc.)	Energy	8/31/00	Texas
NG Energy Trading, LLC (**)	Energy	9/26/00	Oklaho
Alliant Energy Integrated Services - Energy Solutions LLC (**)	Energy	1/1/01	Iowa
Schedin & Associates, Inc. (*) (**)	Energy	6/22/82	Minneso
SVBK Consulting Group, Inc. (*) (**)	Energy	7/2/85	Florid
Alliant Energy Integrated Services - Energy Management LLC (**)	Energy	1/1/01	Iowa
Energy Performance Services, Inc. (**)	Energy	12/17/93	Pennsylv
Cogenex Corporation (**)	Energy	9/26/83	Massachu
APS Cogenex LLC (**)	Energy	9/29/95	Delawa
Energy Capital and Services I, LP (**)	Energy	5/4/90	Massachu
Energy Capital and Services II, LP (**)	Energy	11/13/90	Massachu
Cogenex West Corporation (**)	Energy	9/26/83	Massachu
EUA Cogenex-Canada Inc. (**)	Energy	10/11/94	Canad
EUA Cogenex-Canada Energy Services, Inc. (**)	Energy	12/16/97	Canad
AGRA Cogenex Joint Venture (**)	Energy	7/8/97	Canad
Northeast Energy Management, Inc. (**)	Energy	1/7/94	Massachu
Alliant Energy Investments, Inc.	N/A	N/A	N/A
Heartland Energy Services, Inc.	N/A	N/A	N/A
ReGENco LLC (**)	Energy	4/19/99	Wiscons
Alliant Energy Synfuel LLC	N/A	N/A	N/A
Kaufman & Broad-Nexgen LLC	N/A	N/A	N/A
West Virginia Synfuel LP	N/A	N/A	N/A
Alpha Synfuel LLC	N/A	N/A	N/A
New River Synfuel LLC (**)	Energy	3/9/98	Colora
Alliant Energy Transportation, Inc.	N/A	N/A	N/A
Williams Bulk Transfer Inc. (**)	Energy	4/27/99	Iowa

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

N/A - Not applicable

(a) The following abbreviations were used:

Abbreviation	Nature of Business
(i)	Energy management services
(ii)	Development and commercialization of innovative electro technologies
(v) - 1	Brokering and marketing of energy commodities - natural gas
(v) - 2	Brokering and marketing of energy commodities - natural gas and electricity
(vi)	Production of alternative fuels
(vii) - 1	Environmental licensing, testing, and remediation services
(vii) - 2	Integrated turbine and generator services
(vii) - 3	Distribution system research and development
(vii) - 4	Transmission system maintenance and operations
(ix) - 1	Fuel transportation facilities services
(ix) - 2	Fuel handling and storage facilities services
(ix) - 3	Waste water treatment

4

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS:

Investment level at September 30, 2003

Company Issuing Security	Type of Security Issued	Principal Amount of Security (in thousands)	
ADI Thermal Power Corporation	Common stock	\$200	Alliant En
Alliant Energy Desdemona, LP	Partnership capital	\$1,470	Industrial
	Partnership capital	\$1	Alliant En
Alliant Energy Integrated Services - Energy Management LLC	Money pool borrowings	\$10,165	Alliant En
Alliant Energy Integrated Services - Energy Solutions LLC	Money pool borrowings	\$4,913	Alliant En
Alliant South Texas Pipeline, LP	Partnership capital	\$4,541	Industrial
	Partnership capital	\$7	Alliant En
American Superconductor Corporation	Common stock	\$963	Alliant En
AnAerobics, Inc.	Series A preferred stock	\$2,000	Alliant En
AstroPower, Inc.	Common stock	\$497	Alliant En
BFC Gas Company LLC	Capital	\$1,975	Industrial
CellTech Power, Inc.	Series A preferred stock	\$252	Alliant En
Cogenex Corporation (Incl. subsidiaries)	Money pool borrowings	\$78,192	Alliant En
Dais Analytic Corporation	Common stock	\$90	Wisconsin
Distribution Vision 2010, LLC	Capital	\$100	Alliant En
Energys, Inc.	Common stock	\$8,747	Industrial
	Money pool borrowings	\$132	Alliant En
Energy Performance Services, Inc.	Money pool borrowings	\$16,935	Alliant En
Heartland Energy Group, Inc.	Common stock	\$5,011	Alliant En
	Money pool borrowings	\$19,392	Alliant En
Industrial Energy Applications, Inc.	Common stock	\$21,060	Alliant En
	Money pool borrowings	\$19,473	Alliant En

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

New River Synfuel LLC	Capital	\$30,775	Alpha Synf
Nth Power Technologies Fund II, LP	Partnership capital	\$7,500	Alliant En
Oak Hill Pipeline LP	Partnership capital	\$1,146	Industrial
Proton Energy Systems, Inc.	Common stock	\$499	Alliant En
	Common stock	\$22	AER Holdin
ReGENco LLC	Class A units	\$1,084	Heartland
	Class B units	\$833	Heartland
RMT, Inc. (Incl. subsidiaries)	Common stock	\$11,822	Alliant En
SmartEnergy, Inc.	Common stock	\$15,000	Alliant En
	Bridge loan	\$24,744	Alliant En
STM Power, Inc.	Series A preferred stock	\$2,500	Alliant En
TRANSLink Development Company	Capital	\$288	Alliant En
	Loan	\$1,575	Alliant En
Williams Bulk Transfer Inc.	Common stock	\$1	Alliant En
	Money pool borrowings	\$4,504	Alliant En

(1) Associate companies.

5

ITEM 3 - ASSOCIATE TRANSACTIONS

For the Quarter Ended September 30, 2003

Part I -- Transactions performed by reporting companies on behalf of associate companies (a):

Reporting Company Rendering Services	Associate Company Receiving Services	Types of Services Rendered	Direct Costs Charged (in thousands)	Indirect Costs Charged (in thousand
RMT	WP&L	Environmental consulting	\$437	\$-
RMT	IEA	Environmental consulting	26	-
RMT	IP&L	Environmental consulting	545	-
RMT	Resources	Environmental consulting	20	-
RMT	Barge	Environmental consulting	8	-
RMT	AEG	Environmental consulting	9	-
ReGENco	IP&L	Integrated turbine & generator services	458	-
ReGENco	AEG	Storage lease, handling & maintenance	120	-

Part II -- Transactions performed by associate companies on behalf of reporting companies (a):

Associate Company Rendering Services	Reporting Company Receiving Services	Types of Services Rendered	Direct Costs Charged (in thousands)	Indirect Costs Charged (in thousand
RMT	IEA	Environmental consulting	\$26	\$-

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

(a) The following abbreviations were used:

Abbreviation	Legal Name	Abbreviation	Legal Name
AEG	AEG Worldwide, Inc.	ReGENco	ReGENco LLC
Barge	IEI Barge Services, Inc.	Resources	Alliant Energy
IEA	Industrial Energy Applications, Inc.	RMT	RMT, Inc.
IP&L	Interstate Power and Light Company	WP&L	Wisconsin Pow

6

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT
(Dollars in thousands)

Investments in energy-related companies:

Total consolidated capitalization as of September 30, 2003 (a)	\$5,272,
Total capitalization multiplied by 15% (Line 1 multiplied by 0.15)	790,
Greater of \$50 million or total capitalization multiplied by 15% (Line 2)	790,
Total current aggregate investment subsequent to April 21, 1998 (categorized by major line of energy-related business):	
Energy-related business category i	119,
Energy-related business category ii	15,
Energy-related business category iii	
Energy-related business category iv	
Energy-related business category v	60,
Energy-related business category vi	39,
Energy-related business category vii	4,
Energy-related business category viii	95,
Energy-related business category ix	12,
Energy-related business category x	
Total current aggregate investment	347,
Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (Line 3 less Line 4)	\$443,

Investments in gas-related companies:

Total current aggregate investment (categorized by major line of gas-related business):	
Gas-related business category i	\$-

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Gas-related business category ii	-
Total current aggregate investment	\$-

(a) Includes common equity, cumulative preferred stock of subsidiaries, long-term debt, current maturities and sinking funds and short-term debt (variable rate demand bonds, commercial paper and other short-term borrowings).

(b) Represents \$95,698,000 of payments to purchase generation equipment that Alliant Energy intends to use to develop one or more qualifying facilities.

7

ITEM 5 - OTHER INVESTMENTS
(Dollars in thousands)

Major Line Of Energy-Related Business	Other Investment In Last U-9C-3 Report	Other Investment In This U-9C-3 Report	Reason for Change
Energy-related business category i* Industrial Energy Applications, Inc.	\$41,433	\$40,533	In the third quarter of 1998, Industrial Energy Applications, Inc. made a distribution of common stock to Alliant Energy Corporation in exchange for its borrowings to Alliant Energy Corporation.
Energy-related business category v* Heartland Energy Group, Inc.	\$3,289	\$3,289	No change.
Energy-related business category vi* BFC Gas Company LLC	\$1,975	\$1,975	No change.
Energy-related business category vii* RMT, Inc. (including subsidiaries)	\$11,822	\$11,822	No change.
Energy-related business category ix* Oak Hill Pipeline LP	\$1,245	\$1,146	In the third quarter of 1998, Oak Hill Pipeline LP made a distribution of common stock to Alliant Energy Corporation in exchange for its borrowings to Alliant Energy Corporation.

* Item 5 includes aggregate investments that were invested or committed to be invested in energy-related companies, prior to the date Rule 58 was effective for Alliant Energy Corporation (April 21, 1998 - Date Alliant Energy Corporation became a registered holding company), for which there is no recourse, directly or indirectly, to Alliant Energy Corporation or any subsidiary thereof.

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

A. FINANCIAL STATEMENTS:

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Filed under confidential treatment pursuant to Rule 104 (b).

B. EXHIBITS:

1. Exhibit A - Certificate of Alliant Energy Corporation
2. Copies of contracts required by Item 3:
None

SIGNATURES

Pursuant to the requirements of the Public Utility Holding Company Act of 1935, Alliant Energy Corporation has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized on the 20th day of November 2003.

ALLIANT ENERGY CORPORATION

Registrant

By: /s/ John E. Kratchmer

John E. Kratchmer

Vice President-Controller and Chief Accounting Officer

(Principal Accounting Officer)

8

Exhibit A

ALLIANT ENERGY CORPORATION
4902 N. Biltmore Lane, Madison, Wisconsin 53718-2132

CERTIFICATE

Pursuant to the requirements of Rule 58 of the Public Utility Holding Company Act of 1935, the undersigned certifies that a conformed copy of the quarterly report on Form U-9C-3 shall be filed with the interested state commissions promptly after filing with the SEC. The names and addresses of each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies are:

Iowa Utilities Board
350 Maple Street
Des Moines, Iowa 50319-0069

Illinois Commerce Commission
527 E. Capitol Avenue
Springfield, Illinois 62701

Minnesota Public Utilities Commission
121 7th Place E. Suite 350
St. Paul, MN 55101-2147

Public Service Commission of Wisconsin

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

610 North Whitney Way, P.O. Box 7854
Madison, Wisconsin 53707-7854

ALLIANT ENERGY CORPORATION

Registrant

By: /s/ John E. Kratchmer

John E. Kratchmer

Vice President-Controller and Chief Accounting Officer

(Principal Accounting Officer)