

Edgar Filing: HALBROOK JOHN A - Form 5

HALBROOK JOHN A  
 Form 5  
 November 13, 2002

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 FORM 5  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549

// CHECK BOX IF NO LONGER ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
 SUBJECT TO SECTION 16. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1  
 FORM 4 OR FORM 5 OBLI- Section 17(a) of the Public Utility Holding Company Act of 1935  
 GATIONS MAY CONTINUE. Section 30(h) of the Investment Company Act of 1940  
 SEE INSTRUCTION 1(b).

// FORM 3 HOLDINGS REPORTED  
 // FORM 4 TRANSACTIONS REPORTED

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1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol	6.
Halbrook John A.	Woodward Governor Company WGOV	
(Last) (First) (Middle)	3. IRS Identification Number of Reporting Person, if an entity (voluntary)	4. Statement for Month/Year September 2002
5001 N. Second Street		
(Street)		5. If Amendment, Date of Original (Month/Year)
Rockford, IL 61111		7. X
(City) (State) (Zip)		

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TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOS

1. Title of Security (Instr. 3)	2. Trans- action Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transac- tion Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Am Se Be Ow en Is Fi (In an
				Amount (A) or (D) Price	
Woodward Governor Company Common Stock			J*		
Woodward Governor Company Common Stock	09/30/02	09/30/02	A		
Woodward Governor Company Common Stock					

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\* If the form is filed by more than one reporting person, SEE Instruction 4(b)(v).

TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL  
(e.g., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 3)	2. Conversion of Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4)
Nonqualified Stock Option (right to buy)	\$16.625	01/10/1996			(A)
Nonqualified Stock Option (right to buy)	\$23.50	11/18/1996			
Nonqualified Stock Option (right to buy)	\$32.25	11/17/1997			
Nonqualified Stock Option (right to buy)	\$32.00	01/14/1998			
Nonqualified Stock Option (right to buy)	\$22.00	11/16/1998			
Nonqualified Stock Option (right to buy)	\$24.75	11/16/1999			
Nonqualified Stock Option (right to buy)	\$41.813	11/21/2000			
Nonqualified Stock Option (right to buy)	\$49.00	10/01/2001			
Nonqualified Stock Option (right to buy)	47.72	10/07/2002			
Phantom Stock Units	1-for-1	11/08/2002			

  

7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially	10. Ownership of Derivative Security: Direct	11. Nature of Indirect Beneficial Ownership

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Title	Amount or Number of Shares	Owned at End of Year (Instr. 4)	(D) or Indirect (I) (Instr. 4) or Indirect	Owne (Ins
Common Stock	30,960 (a)	30,960	D	
Common Stock	53,600 (b)	84,560	D	
Common Stock	57,794 (b)	142,354	D	
Common Stock	21,721 (b)	164,075	D	
Common Stock	50,000 (b)	214,075	D	
Common Stock	35,000 (b) (c)	249,075	D	
Common Stock	40,000 (b) (c)	289,075	D	
Common Stock	50,000 (b) (c)	339,075	D	
Common Stock	25,000	364,075	D	
Common Stock	87,647	451,722	D	

Explanation of Responses:

(a) Stock options originally granted on January 17, 1996 under the Woodward Governor Company 1996 Long-Term Incentive Compensation Plan remaining upon partial attainment of performance goals for Fiscal Year 1996. (b) Grant to reporting person of option to buy shares of common stock under the Woodward Governor Company 1996 Long-Term Incentive Compensation Plan is an exempt transaction under Rule 16b-3. (c) Shares become exercisable at the rate of 25% per year beginning one year from date of grant. (1) The phantom stock units were accrued under the Woodward Governor Company Unfunded Deferred Compensation Plan No. 2 and are to be settled in 100% cash upon separation from the Company.

/s/ John A. Halbrook

November 12, 2002

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\*\*Signature of Reporting Person

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Date

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. SEE 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, SEE Instruction 6 for procedure.