Sorkin David
Form 4
April 03, 2013
FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION

## Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

OMB Number:
Expires: January 31,
Estimated average burden hours per response...
(Print or Type Responses)

| 1. Name and Address of Reporting Person Sorkin David |  |  | 2. Issuer Name and Ticker or Trading Symbol |
| :---: | :---: | :---: | :---: |
|  |  |  | KKR \& Co. L.P. [KKR] |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) |
| C/O KKR | . L.P. |  | 04/01/2013 |
| 57TH STREET, 42ND FLOOR |  |  |  |
| (Street) |  |  | 4. If Amendment, Date Original Filed(Month/Day/Year) |

NEW YORK, NY 10019
5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
 General Counsel and Secretary 6. Individual or Joint/Group Filing(Check Applicable Line)
_X_Form filed by One Reporting Person _ Form filed by More than One Reporting Person


Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.
Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form

# Edgar Filing: Sorkin David - Form 4 <br> displays a currently valid OMB control number. 

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. <br> Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. <br> Transactio <br> Code <br> (Instr. 8) | 5. N <br> Deriv <br> Secu <br> Acq <br> or D <br> (D) <br> (Inst <br> and | mber of ative ities ired (A) sposed of $3,4,$ | 6. Date Exe Expiration D (Month/Day | cisable and <br> ate <br> Year) | 7. Title and Underlying (Instr. 3 and | Amount of Securities 4) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | Code V | (A) | (D) | Date <br> Exercisable | Expiration <br> Date | Title | Amount or Number of Shares |
| Restricted <br> Equity <br> Units | (1) | 04/01/2013 |  | M |  | 18,270 | (1) | (1) | Common Units | 18,270 |

## Reporting Owners

## Reporting Owner Name / Address

## Relationships

Director $10 \%$ Owner Officer Other
Sorkin David
C/O KKR \& CO. L.P.
9 WEST 57TH STREET, 42ND FLOOR
General Counsel and Secretary
NEW YORK, NY 10019

## Signatures

## /s/ David J.

Sorkin
04/03/2013
**Signature of
Date
Reporting Person

## Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. $78 f f(a)$.
18,270 restricted equity units of KKR \& Co. L.P. vested on April 1, 2013 and were settled for common units of KKR \& Co. L.P. on a one-for-one basis. The remaining 86,406 restricted equity units consist of 36,541 and 49,865 units of KKR \& Co L.P., which will generally vest in equal annual installments over a two-year and three-year period, respectively, with the next vesting on April 1, 2014, and upon vesting, may be settled by delivery of common units of KKR \& Co. L.P. on a one-for-one basis.
Reflects the transfer of 5,000 common units of KKR \& Co. L.P. from a KKR-related holding vehicle to the Reporting Person. This
(2) transfer, which was exempt from reporting pursuant to Rule 16a-13 under the Exchange Act, merely changed the form of the Reporting Person's pecuniary interest in such securities from indirect to direct.
(3)

These common units of KKR \& Co. L.P. are held by a limited liability company established for the purposes of making charitable donations of which the Reporting Person is a member and a manager.

## Remarks:

Pursuant to Rule 16a-1(a)(4) under the Securities Exchange Act of 1934, as amended, the Reporting Person states that this fili
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.
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