Edgar Filing: AWARE INC /MA/ - Form 4

AWARE INC	C /MA/										
Form 4											
July 03, 2013	3										
FORM	14									PPROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION							ONID	3235-0287			
Check thi	is hox		Was	hington,	D.C. 205	549			Number:		
if no longer whiat to statement of CHANGES IN BENEFICIAL OWNERSHIP OF							Expires:	January 31, 2005			
subject to)	MENI U	F CHAN			CIA.	LOW	NERSHIP OF	Estimated	average	
Section 1 Form 4 or	Section 16. SECURITIES							burden hou	•		
Form 5		report to	Section 16	S(a) of the	Securiti	os Er	vehand	ge Act of 1934,	response	. 0.5	
obligation	ns Section 17						-	of 1935 or Section	n		
may cont	inue.		of the In	•	•	- ·)II		
<i>See</i> Instru 1(b).	letion	00(11)			company.	,	. 01 17				
-(-).											
(Print or Type F	Responses)										
	ddress of Reporting	g Person <u>*</u>		Name and	Ticker or '	Fradin	g	5. Relationship of Reporting Person(s) to Issuer			
STAFFORD JOHN S JR Symbol								155001			
			AWARI	E INC /M	A/ [AW]	RE]		(Che	ck all applicabl	e)	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				••				
			(Month/D	-				X_ Director 10% Owner Officer (give title Other (specify			
350 N. ORLEANS STREET, SUITE 07/01/20			013				below) below)				
2N											
(Street) 4. If A			4. If Amer	f Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
			Filed(Mon	th/Day/Year)				Applicable Line)			
CHICACO	II 60654 1075							_X_ Form filed by Form filed by 1			
CHICAGO,	IL 60654-1975							Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficia	lly Owned	
1.Title of	2. Transaction Da	ate 2A. Dee	emed	3.	4. Securi	ties		5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Yea	on Date, if TransactionAcquired (A) or					Securities	Form: Direct	Indirect		
(Instr. 3)		(Day/Vaar)	Code	1 ()			•	(D) or Indirect (I)	Beneficial		
		(Day/Year) (Instr. 8) (Instr. 3, 4 and 5)			3)	Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)			
						(1)		Reported	((
						(A) or		Transaction(s)			
				Code V	Amount		Price	(Instr. 3 and 4)			
Common	07/01/2013			М	5,000	А	\$0	5,000	D		
Stock	07/01/2013			141	(1)	A	(1)	5,000	D		
Common									_	By Proxy	
Stock								2,057,296 <u>(2)</u>	Ι	<u>(2)</u>	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De Se (Iı
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Unrestricted Stock Award (Right to Acquire)	\$ 0 <u>(1)</u>	07/01/2013		М	5,000	<u>(1)</u>	<u>(1)</u>	Common Stock	5,000	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
STAFFORD JOHN S JR 350 N. ORLEANS STREET SUITE 2N CHICAGO, IL 60654-1975	Х						
Signatures							
/s/ John S. Stafford, Jr. 07	//03/2013						

**Signature of

Date

Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On July 1, 2013, the reporting person received 5,000 shares of unrestricted common stock, which were previously granted on April 2, (1) 2013 subject to his continued service as a director, officer or employee of the Company.

The reporting person has obtained proxies from the holders of 2,057,296 shares of common stock granting him the exclusive right to vote those shares of common stock. The reporting person does not have the right to dispose of such shares of common stock nor does he have (2) any pecuniary interest in such shares of common stock. Accordingly, pursuant to Rule 13d-4, the reporting person disclaims beneficial

ownership of the 2,057,296 shares of common stock that are the subject of these proxies.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.