#### Edgar Filing: COMFORT SYSTEMS USA INC - Form 4

COMFORT SYSTEMS USA INC Form 4 April 02, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Mylett James Matthew Issuer Symbol COMFORT SYSTEMS USA INC (Check all applicable) [FIX] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 675 BERING DRIVE, SUITE 400 03/25/2015 SrVP - Service (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting HOUSTON, TX 77057 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price 4,728 Common 03/25/2015 Α Α \$0 10,301 D (1)Stock \$ Common 04/02/2015(2) F 491<sup>(3)</sup> D 21.04 D 9.810 Stock (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiratio Date (Month/Day/Year)	n 7. Title and Amo Underlying Secu (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable Expiration Dat	An or Nu of S
Employee Stock Option	\$ 19.67	03/25/2015		А	12,205	04/01/2016 <sup>(5)</sup> 03/25/2025	6) Common 12 Stock 12

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Mylett James Matthew 675 BERING DRIVE, SUITE 400 HOUSTON, TX 77057			SrVP - Service		
Signatures					

/s/ Trent T. McKenna, by power of	04/02/2015
attorney	04/02/2013
**Signature of Reporting Person	Date

# \*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit granted on March 25, 2015 represents a right to receive one share of common stock and will vest in equal installments over a three-year vesting schedule.
- (2) Forfeited shares are related to restricted stock units that vested on April 1,2015 and were priced based on the average of the high and low stock price on April 1, 2015. Forfeitures were processed on April 2, 2015.
- (3) Reflects forfeited shares related to the first vesting of restricted stock units granted on March 19, 2014.
- (4) Price is based on the average of the high and low stock price on April 1, 2015.
- (5) Stock options vest in equal installments over a three-year vesting schedule.
- (6) Stock options will expire at the earlier of ten years from the date of grant or three months following termination of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.