

CA, INC.  
Form 4  
November 30, 2015

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CAREAL HOLDING AG

2. Issuer Name and Ticker or Trading Symbol  
CA, INC. [CA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
11/26/2015

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

UTOQUAI 49

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting Person

ZURICH, V8 8022

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)          | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)      | 7. Nature of Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|---|-----------------------------------|
| Common Stock, par value \$0.10 per share | 11/26/2015                           |  | J <sup>(1)</sup>               | 37,050,000  | D <u>11</u> 66,763,380  | D <sup>(2)</sup> <sup>(3)</sup> <sup>(4)</sup> <sub>(5)</sub> |                                   |
| Common Stock, par value \$0.10 per share |                                      |  |                                |   | 20,000  | D <sup>(3)</sup>  |                                   |
| Common Stock, par                        |                                      |  |                                |   | 3,200   | I   | See Footnote                      |

|  |  |  |  |  |  |            |   |  |                         |
|--|--|--|--|--|--|------------|---|--|-------------------------|
| value<br>\$0.10 per<br>share                         |  |  |  |  |  |            |   |  | (6)                     |
| Common<br>Stock, par<br>value<br>\$0.10 per<br>share |  |  |  |  |  | 37,050,000 | I |  | See<br>Footnotes<br>(7) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Benef<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|--|---|---|--------------------------------------|--|--|---|---|---|
|   |  |   |   |                                      |  | Date<br>Exercisable  | Expiration<br>Date  | Title   | Amount<br>or<br>Number<br>of<br>Shares                                      |
|   |  |   |   |                                      |  | Code   | V (A) (D)   |   |   |

## Reporting Owners

| Reporting Owner Name / Address                            | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| CAREAL HOLDING AG<br>UTOQUAI 49<br>ZURICH, V8 8022        |               | X         |         |       |
| Haefner Martin<br>UTOQUAI 49<br>ZURICH, V8 8022           |               | X         |         |       |
| Bucher-Haefner Eva Maria<br>UTOQUAI 49<br>ZURICH, V8 8022 |               | X         |         |       |

## Signatures

|  |            |
|--|------------|
| CAREAL HOLDING AG By: Martin Haefner, Chairman and President By:/s/ Claude Lambert Attorney-in-fact for Martin Haefner | 11/30/2015 |
| __Signature of Reporting Person  | Date       |
| MARTIN HAEFNER By:/s/ Claude Lambert, attorney-in-fact   | 11/30/2015 |
| __Signature of Reporting Person  | Date       |
| EVA MARIA BUCHER-HAEFNER By:/s/ Claude Lambert, attorney-in-fact   | 11/30/2015 |
| __Signature of Reporting Person  | Date       |
| BIGPOINT HOLDING AG By:/s/ Claude Lambert, attorney-in-fact  | 11/30/2015 |
| __Signature of Reporting Person  | Date       |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On November 26, 2015 Careal Holding AG ("Careal") transferred 37,050,000 shares of Issuer common stock ("Company Stock") to BigPoint Holding AG ("BigPoint"), a company wholly owned by Mr. Haefner, to repurchase shares of Careal held by BigPoint. The transfer described herein was in connection with the Issuer's repurchase of shares of Company Stock from Careal on November 20, 2015. Careal used the cash proceeds from such repurchase, plus additional cash, to repurchase shares of Careal owned by Ms. Bucher-Haefner. These actions allow Mr. Haefner and Ms. Bucher-Haefner to maintain their respective 50% ownership interest in Careal.
- (2) Reflects securities held directly by Careal.
- (3) Reflects securities held directly by Martin Haefner.
- (4) Martin Haefner owns 50% of the shares of Careal.
- (5) Eva Maria Bucher-Haefner owns 50% of the shares of Careal.
- (6) Reflects securities held by the spouse of Martin Haefner.
- (7) Reflects securities held directly by BigPoint, a company wholly owned by Martin Haefner.

### Remarks:

Each of the Reporting Persons may be deemed to beneficially own the shares of Common Stock reported herein, but each (oth

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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