

SANMINA CORP
Form 4
December 01, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Licata Joseph G Jr

(Last) (First) (Middle)

2700 NORTH FIRST STREET

(Street)

SAN JOSE, CA 95134

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
SANMINA CORP [SANM]

3. Date of Earliest Transaction
(Month/Day/Year)
11/30/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/30/2016		M	865 A	\$ 12.72 63,618	D	
Common Stock	11/30/2016		S	865 D	\$ 33.4845 62,753 (1)	D	
Common Stock	11/30/2016		M	8,598 A	\$ 17.06 71,351	D	
Common Stock	11/30/2016		S	8,598 D	\$ 33.4845 62,753 (1)	D	
Common	11/30/2016		M	4,820 A	\$ 15.91 67,573	D	

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Stock

Common Stock	11/30/2016	S	4,820	D	\$ 33.4845 (1)	62,753	D
Common Stock	11/30/2016	M	1,667	A	\$ 17.29	64,420	D
Common Stock	11/30/2016	S	1,667	D	\$ 33.4845 (1)	62,753	D
Common Stock	11/30/2016	M	7,181	A	\$ 24.14	69,934	D
Common Stock	11/30/2016	S	7,181	D	\$ 33.071 (2)	62,753	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Non Qualified Stock Option (right to buy)	\$ 12.72	11/30/2016		M	865	(3) 09/17/2017	Common Stock	865
Non Qualified Stock Option (right to buy)	\$ 17.06	11/30/2016		M	8,598	(3) 03/17/2024	Common Stock	8,598

Non Qualified Stock Option (right to buy)	\$ 15.91	11/30/2016	M	4,820	<u>(3)</u>	02/15/2021	Common Stock	4,820
Non Qualified Stock Option (right to buy)	\$ 17.29	11/30/2016	M	1,667	<u>(3)</u>	03/15/2020	Common Stock	1,667
Non Qualified Stock Option (right to buy)	\$ 24.14	11/30/2016	M	7,181	<u>(3)</u>	03/16/2025	Common Stock	7,181

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Licata Joseph G Jr 2700 NORTH FIRST STREET SAN JOSE, CA 95134	X			

Signatures

/s/ Christopher K. Sadeghian,
Attorney-in-Fact

12/01/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) THE SALE PRICE REPORTED IN COLUMN 4 OF TABLE 1 REPRESENTS THE WEIGHTED AVERAGE SALE PRICE OF SHARES SOLD RANGING FROM \$33.15 TO \$33.80 PER SHARE. UPON REQUEST BY THE COMMISSION STAFF, THE ISSUER, OR SECURITY HOLDER OF THE ISSUER, THE REPORTING PERSON WILL PROVIDE FULL INFORMATION REGARDING THE NUMBER OF SHARES SOLD AT EACH SEPARATE PRICE.

(2) THE SALE PRICE REPORTED IN COLUMN 4 OF TABLE 1 REPRESENTS THE WEIGHTED AVERAGE SALE PRICE OF SHARES SOLD RANGING FROM \$33.00 TO \$33.15 PER SHARE. UPON REQUEST BY THE COMMISSION STAFF, THE ISSUER, OR SECURITY HOLDER OF THE ISSUER, THE REPORTING PERSON WILL PROVIDE FULL INFORMATION REGARDING THE NUMBER OF SHARES SOLD AT EACH SEPARATE PRICE.

(3) AS OF THE DATE OF EXERCISE, THE OPTIONS ARE FULLY VESTED.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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