# Edgar Filing: CALIFORNIA FIRST NATIONAL BANCORP - Form 8-K/A

CALIFORNIA FIRST NATIONAL BANCORP Form 8-K/A August 05, 2013

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

### FORM 8-K/A

#### CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of report (Date of earliest event reported): July 31, 2013

### CALIFORNIA FIRST NATIONAL BANCORP

(Exact Name of Registrant as Specified in its Charter)

CALIFORNIA (State or other Jurisdiction of Incorporation) 000-15641 (Commission File Number) 33-0964185 (IRS Employer Identification No.)

18201 VON KARMAN AVENUE, SUITE 800, IRVINE, CA 92612 (Address of Principal Executive Offices, Including Zip Code)

Registrant's telephone number, including area code: (949) 255-0500

## Not applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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### **Explanatory Note**

This Form 8-K/A amends the Current Report on Form 8-K filed by California First National Bancorp on July 31, 2013, that incorrectly referred to events and reports from April 24, 2013 instead of July 31, 2013. In addition, this amendment corrects a table heading in the press release attached hereto that indicated "Nine Months Ended June 30" instead of "Years Ended June 30". In all other respects, the press release is unchanged.

### Item 2.02. Results of Operations and Financial Condition

On July 31, 2013, California First National Bancorp ("CFNB") announced its financial results for the fiscal quarter and year ended June 30, 2013 and certain other information. A copy of CFNB's press release, as corrected, announcing these financial results and certain other information is attached as Exhibit 99.1.

In accordance with General Instruction B.2 of Form 8-K, the information in this Current Report on Form 8-K/A, including Exhibit 99.1, shall not be deemed to be "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liability of that section, and shall not be incorporated by reference into any registration statement or other document filed under the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in such filing.

# **Item 7.01 Regulation FD Disclosure**

On July 31, 2013, the Company issued the press release referred under Item 2.02 providing previously non-public information consisting of forward-looking statements relating to the Company's business and results of operations. A copy of the press release is attached, as corrected, as Exhibit 99.1 to this report.

## Item 9.01. Financial Statements and Exhibits.

#### (c) Exhibits.

99.1 Press Release dated July 31, 2013.

Pursuant to the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

#### CALIFORNIA FIRST NATIONAL BANCORP

S. Leslie Jewett /s/
S. Leslie Jewett
Executive Vice President

Date: August 5, 2013