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ILLUMINA INC Form 8-K October 11, 2016

#### **UNITED STATES**

SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Form 8-K Current Report

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934 Date of Report (Date of earliest event reported): October 10, 2016 Illumina, Inc. (Exact name of registrant as specified in its charter)

001-35406

(Commission File Number)

Delaware 33-0804655

(State or other jurisdiction of incorporation) (I.R.S. Employer Identification No.)

5200 Illumina Way, San Diego, CA 92122 (Address of principal executive offices) (Zip code)

(858) 202-4500

(Registrant's telephone number, including area code)

N/A

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Item 2.02 Results of Operations and Financial Condition.

On October 10, 2016, Illumina, Inc. (the "Company") issued a press release announcing preliminary revenue for the third quarter ended October 2, 2016. The full text of the Company's press release is attached hereto as Exhibit 99.1.

On October 10, 2016, the Company held an investor call during which it discussed preliminary revenue for the third quarter ended October 2, 2016. Pursuant to General Instruction F to Form 8-K, a copy of the transcript from the investor call (the "Transcript") is attached hereto as Exhibit 99.2 and is incorporated into this Item 2.02 by this reference.

The information furnished pursuant to this Item 2.02 shall not be deemed to be "filed" for purposes of Section 18 of the Securities Exchange Act of 1934 (the "Exchange Act") or otherwise subject to the liabilities under that Section and shall not be deemed to be incorporated by reference into any filing of the Company under the Securities Act of 1933 or the Exchange Act.

Item 9.01 Financial Statements and Exhibits.

- (d) Exhibits.
- 99.1 Press release dated October 10, 2016, announcing Illumina, Inc.'s preliminary revenue for the third quarter ended October 2, 2016.
- 99.2 Transcript of Illumina, Inc. investor conference call on October 10, 2016.

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# **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

# ILLUMINA, INC.

Date: October 11, 2016 By: /s/ MARC A.

STAPLEY

Marc A. Stapley

Executive Vice President, Chief

Administrative Officer &

Chief Financial

Officer