#### Edgar Filing: CARNIVAL PLC - Form 4

CARNIVAL Form 4 May 08, 200										
FORM		STATES S	ECUR	ITIES A	ND EX	СНА	NGE C	COMMISSION		APPROVAL
			Was	hington,	D.C. 20	549			Number:	3235-0287
Check thi if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b).	6. Filed pur Section 17(a	suant to Sec a) of the Pu	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section ) of the Investment Company Act of 1940						Expires: January 31, 2005 Estimated average burden hours per response 0.5	
(Print or Type F	Responses)									
	ddress of Reporting E	Sy	ymbol	Name and VAL PLC		Tradi	ng	5. Relationship o Issuer		
(Last)	(First) (N			Earliest Tra				(Che	ck all applicat	ole)
6077 SAN E	ELIJO, P.O. BOX		Month/Da 5/07/20	-				_X_ Director Officer (give below)		0% Owner ther (specify
RANCHO S	(Street) SANTA FE, CA 9	Fi		ndment, Da th/Day/Year)	-	1		6. Individual or J Applicable Line) _X_ Form filed by Form filed by Person	One Reporting	Person
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Aca	uired, Disposed o	of, or Benefici	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deemed Execution I any (Month/Day	d Date, if	3. Transactic Code (Instr. 8) Code V	4. Securi on(A) or D (Instr. 3,	ties A ispose 4 and (A) or	cquired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Trust Shares (beneficial				Code v	Amount	(D)	¢			
interest in special voting share) $(1)$	05/07/2008			S	802	D	\$ 40.44	0	Ι	By Spouse
Trust Shares (beneficial interest in special voting								2,000	Ι	As Co-Trustee of Capen Trust

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share) $(1)$							
Trust							
Shares							
(beneficial							
interest in	5,500	D					
special							
voting							
share) $\frac{(1)}{(1)}$							
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.							

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Mumber of Derivative	6. Date Exer Expiration I (Month/Day e	Date	7. Title and Amount of Underlying Securities	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene
(	Derivative		()	(	Securities			(Instr. 3 and 4)	(	Owne
	Security				Acquired			× ,		Follo
					(A) or					Repo
					Disposed					Trans
					of (D)					(Instr
					(Instr. 3,					
					4, and 5)					
								Amount		
						Date	Expiration	or		

Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	or Number of Shares

## **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

10% Owner Officer Other

CAPEN RICHARD G JR 6077 SAN ELIJO P.O. BOX 2494 RANCHO SANTA FE, CA 92067

### Signatures

Richard G. 05/08/2008 Capen, Jr.

<u>\*\*</u>Signature of Reporting Person Date

Director

Х

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to

(1) holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.