## Edgar Filing: HomeTrust Bancshares, Inc. - Form SC 13G/A

HomeTrust Bancshares, Inc. Form SC 13G/A February 07, 2018

CUSIP No. 43787210413G/A Page 1 of 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SCHEDULE 13G/A

Under the Securities Exchange Act of 1934

(Amendment No. 2) \*

HomeTrust Bancshares, Inc. (Name of Issuer)

Common Stock, par value \$0.01 per share (Title of Class of Securities)

437872104 (CUSIP Number)

December 31, 2017 (Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

x Rule 13d-1(b)

" Rule 13d-1(c)

" Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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Name of Reporting Persons.

I.R.S. Identification No. of above persons (entities only).

Delaware Charter Guarantee & Trust

1. Company dba Principal Trust Company as

1. Trustee

for the HomeTrust Bancshares, Inc. Employee Stock Ownership Plan and the HOMETRUST BANK 401(K) PLAN

IRS No. 51-0099493

Check the Appropriate Box if a Member of a Group:

- 2. (a) ..
  - (b) "
- 3. SEC Use Only

Citizenship or Place of Organization:

4.

Delaware

Numbesole Voting Power: 0

ot

Shares. Shared Voting Power: 1,189,393

Beneficially

Own@d Sole Dispositive Power: 0

by Each

Reporting 8. Shared Dispositive Power: 1,189,393 Person

Person With:

Aggregate Amount Beneficially owned by

9. Each Reporting Person

1,189,393

Check if Aggregate Amount in Row (9)

10. Excludes Certain Shares:

..

11. Percent of Class Represented by Amount in Row (9):

6.27%

12. Type of Reporting Person: EP

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HomeTrust

(a) Name of Issuer: Bancshares,

Inc.

(b) Address of Issuer's Principal

10 Woodfin

Executive Offices: Si

Street

Ashe

Asheville

NC 28801

#### Item 2.

(a) - (c) Name, Principal Business Address and Citizenship of Person Filing:

Delaware Charter Guarantee & Trust Company dba
Principal Trust Company as
Trustee
for the HomeTrust
Bancshares, Inc. Employee
Stock Ownership Plan and
the HOMETRUST BANK
401(K) PLAN
1013 Centre Road Ste 300
Wilmington DE 19805-1265

#### CitizenshipDelaware

(d) Title if Class of Securities:

Common Stock, par value \$0.01 per share

(e) CUSIP Number:

437872104

Item 3. If this statement is filed pursuant to Rule 13D-1(b) or

13D-2(b) or (c), check whether

the person filing is a:

(f) x An employee benefit plan or endowment fund in accordance

with Rule 13d-1(b)(1)(ii)(F);

## Item 4. Ownership.

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a) The HomeTrust Bancshares, Inc. Employee Stock Ownership Plan and the HOMETRUST BANK 401(K) PLAN ("Plans") are subject to the Employee Retirement Income Security Act of 1974 ("ERISA"). Delaware Charter Guarantee & Trust Company dba **Principal Trust Company** acts as the Trustee of the HomeTrust Bancshares, Inc. **Employee Stock Ownership** Plan and the HOMETRUST BANK 401(K) PLAN ("Trusts"). As of December 31, 2017, the HomeTrust Bancshares, Inc. **Employee Stock Ownership** Plan and the HOMETRUST BANK 401(K) PLAN held 1,189,393 shares of the Issuer's common stock. The securities reported include all shares held of record by the Trustee. The Trustee follows the directions of the Employer, HomeTrust Bancshares, Inc. (the "Employer"), or other parties designated in the trust agreement between the Employer and the Trustee, with respect to voting and disposition of shares. The Trustee, however, is subject to fiduciary duties under ERISA. The Trustee

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disclaims beneficial ownership of the shares of common stock that are the subject of this Schedule 13G.

The 1,189,393 shares of common stock represent 6.27% of the Issuer's outstanding shares of

(b) common stock. The percent of class is based on shares outstanding as of December 31, 2017, as provided by the Issuer.

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(c) Number of shares as to which

such person has:

Sole power

(i) to vote or direct the

0

vote:

Shared

power to

(ii) vote or

1,189,393

direct the

vote:

Sole power

to dispose

(iii) or direct

the

0

disposition

of:

Shared

power to

(iv) dispose or direct the

1,189,393

disposition

of:

Item 5. Ownership of Five Percent or Less of Class

Not Applicable

Item 6. Ownership of More Than Five Percent on Behalf of Another Person

Not Applicable

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company

Not Applicable

Item 8. Identification and Classification of Members of the Group

Not Applicable

Item 9. Notice of Dissolution of Group

Not Applicable

Item 10. Certification

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of having or influencing the control of the issuer of the securities and are not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

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After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Delaware Charter Guarantee & Trust Company

/s/ Kristin M.
Camp
Kristin
M.
Camp
Vice
President,
Operations
January
25,

2018