

NACCO INDUSTRIES INC  
Form 5  
February 14, 2008

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0362  
Expires: January 31, 2005  
Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
RANKIN ALFRED M ET AL

(Last) (First) (Middle)

NACCO INDUSTRIES,  
INC., 5875 LANDERBROOK  
DRIVE, STE. 300

(Street)

CLEVELAND, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
NACCO INDUSTRIES INC [NC]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2007

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
CEO / Group Member

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Amount Price			
Class A Common Stock	02/23/2007	02/23/2007	G	1,804 D \$ 0	425	I	By Trust (CLTR) (1)
Class A Common Stock	02/23/2007	02/23/2007	G	82 A \$ 0	20,443	I	By Spouse/Trust (2)
	02/23/2007	02/23/2007	G	82 A \$ 0	38,599	I	

Edgar Filing: NACCO INDUSTRIES INC - Form 5

Class A Common Stock									By Trust/Daughter 1 <u>(3)</u>
Class A Common Stock	02/23/2007	02/23/2007	G	256	A	\$ 0	5,910	I	By Assoc II/Daughter 1 <u>(4)</u>
Class A Common Stock	02/23/2007	02/23/2007	G	256	A	\$ 0	5,910	I	By Assoc II/Daughter 2 <u>(4)</u>
Class A Common Stock	02/23/2007	02/23/2007	G	4,180	D	\$ 0	4,684	I	By Assoc II <u>(5)</u>
Class A Common Stock	02/23/2007	02/23/2007	G	128	A	\$ 0	6,038	I	By Assoc II/Daughter 1 <u>(4)</u>
Class A Common Stock	02/23/2007	02/23/2007	G	128	A	\$ 0	6,038	I	By Assoc II/Daughter 2 <u>(4)</u>
Class A Common Stock	02/26/2007	02/26/2007	G	82	D	\$ 0	343	I	By Trust (CLTR) <u>(1)</u>
Class A Common Stock	02/27/2007	02/27/2007	G	82	D	\$ 0	261	I	By Trust (CLTR) <u>(1)</u>
Class A Common Stock	08/23/2007	08/23/2007	G	39	A	\$ 0	2,696	I	By RA4 <u>(6)</u>
Class A Common Stock	08/23/2007	08/23/2007	G	39	A	\$ 0	15,704	I	By RA4 (BTR) <u>(7)</u>
Class A Common Stock	02/12/2008	Â	A4 <sup>(12)</sup>	9,185	A	\$ 0	144,630	I	By Trust (AMR) <u>(11)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	28,000	I	By Trust 3 (Grandchildren) <u>(13)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	38,599	I	By Trust/Daughter 2 <u>(3)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	2,000	I	By Trust 4 (Charities) <u>(14)</u>
Class A Common	Â	Â	Â	Â	Â	Â	26,608	I	By Trust 2 (SR) <u>(15)</u>

Edgar Filing: NACCO INDUSTRIES INC - Form 5

Stock									
Class A Common Stock	Â	Â	Â	Â	Â	Â	30,000	I	By Trust (Unitrust) <sup>(16)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	14,000	I	By IRA <sup>(17)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	1,975	I	By RMI (Delaware) <sup>(18)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	2,116	I	By Assoc II/Spouse <sup>(19)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	6	I	By GP <sup>(20)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	28,521	I	By Trust (AssocII-BTR) GRAT08 <sup>(21)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	4,678	I	By Trust CTR/GRAT08 <sup>(9)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	24,056	I	By Trust CTR (RA4) GRAT08
Class A Common Stock	Â	Â	Â	Â	Â	Â	30,497	I	By Assoc II/GRAT 1
Class A Common Stock	Â	Â	Â	Â	Â	Â	2,500	I	By Trust CTR/GRAT09
Class A Common Stock	Â	Â	Â	Â	Â	Â	31,479	I	By Trust (AssocII-BTR) GRAT09
Class A Common Stock	Â	Â	Â	Â	Â	Â	54,459	I	By Trust CTR (RA4) GRAT09

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: NACCO INDUSTRIES INC - Form 5

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Class B Common Stock	\$ 0 <sup>(8)</sup>	09/19/2007	09/19/2007	J4 <sup>(10)</sup>	7,000	Â	Â <sup>(8)</sup>	Â <sup>(8)</sup>	Class A Common Stock	7,000
Class B Common Stock	\$ 0	09/19/2007	09/19/2007	J4 <sup>(10)</sup>	152,467	Â	Â <sup>(8)</sup>	Â <sup>(8)</sup>	Class A Common Stock	152,467
Class B Common Stock	\$ 0 <sup>(8)</sup>	09/19/2007	09/19/2007	J4 <sup>(10)</sup>	33,141	Â	Â <sup>(8)</sup>	Â <sup>(8)</sup>	Class A Common Stock	33,141

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN ALFRED M ET AL NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 300 CLEVELAND, OH 44124	Â X	Â	Â CEO	Group Member

## Signatures

/s/Constantine E. Tsipis, attorney-in-fact for Alfred M. Rankin, Jr.

02/14/2008

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person serves as Trustee of a Trust for the benefit of the Clara L.T. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (2) Reporting Person's spouse serves as Trustee of a Trust for the benefit of Victoire G. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (3) Reporting Person serves as Trustee for a Trust held for the Benefit of Reporting Person's daughter. Reporting Person disclaims beneficial ownership of all such shares.
- (4) Represents the Reporting Person's daughter's proportionate limited partnership interest in shares held by Rankin Associate II, L.P., which is held in a trust for the benefit of the daughter. Reporting Person is the co-trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (5) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates II, L.P.

## Edgar Filing: NACCO INDUSTRIES INC - Form 5

- (6) Proportionate limited partnership interest in shares held by Rankin Associates IV, L.P.  
(BTR RAIIV) Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (7) N/A
- (8) Represents shares which are held in a qualified annuity interest trust for the benefit of Clara LT Rankin.
- (9) Transfer
- (10) Reporting Person serves as Trustee of a Trust for the benefit of the Alfred M. Rankin, Jr.
- (11) Shares of Class A Common Stock awarded to the Reporting Person under the Company's Executive Long-Term Incentive Compensation Plan.
- (12) Reporting Person serves as Trustee of Trusts for the benefit of each of grantor's grandchildren. Each trust owns 4,800 shares. Reporting Person disclaims beneficial ownership of all such shares.
- (13) Reporting Person serves as Trustee of Irrevocable Trust u/a/d 9/22/88, for the Benefit of Charities for a term of 20 years and then to grantor's grandchildren. Reporting Person disclaims beneficial ownership of all such shares.-----
- (14) Reporting Person serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (15) Reporting Person serves as Trustee of the Clara T. Rankin Remainder Unitrust#2 u/a/d 1/5/77. Reporting Person disclaims beneficial ownership of all such shares.
- (16) Held in an Individual Retirement Account for the benefit of the Reporting Person.
- (17) Represents the Reporting Person's proportionate limited partnership interest in shares of Rankin Associates II, L.P. held by Rankin Management, Inc. ("RMI"), as general partner.
- (18) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (19) (GP) Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.
- (20) Represents the proportionate limited partnership interest in Rankin Associates II, which is held in a qualified annuity interest trust for the benefit of Bruce T. Rankin.

Â

### Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.