**BUCKELEW ALAN** Form 4

April 03, 2009

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue.

See Instruction

1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**BUCKELEW ALAN** 

2. Issuer Name and Ticker or Trading

Symbol

CARNIVAL CORP [CCL]

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

CARNIVAL CORPORATION, 3655 04/01/2009

(Street)

(State)

NW 87TH AVE

3.

4. If Amendment, Date Original

Filed(Month/Day/Year)

Form filed by More than One Reporting Person

4. Securities

**MIAMI, FL 33178** 

Security

(Instr. 3)

(City) 1. Title of

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Zip)

(Month/Day/Year)

TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

Code V Amount (D) Price

(A)

Securities Beneficially Owned Following Reported

5. Amount of

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

Director

Applicable Line)

X\_ Officer (give title

(I) (Instr. 4)

Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4)

7. Nature of

(9-02)

10% Owner

Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

President & CEO - PCL

6. Ownership

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person

below)

Estimated average

burden hours per

Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

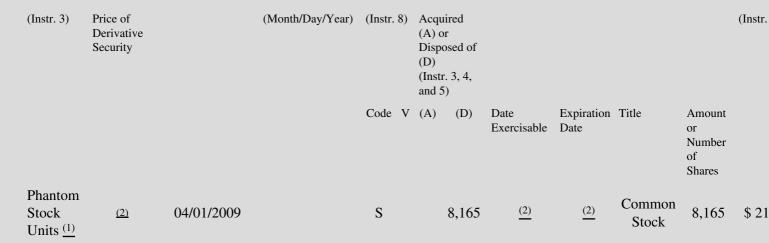
5. Number 4. Transaction of Derivative Expiration Date Code Securities

6. Date Exercisable and (Month/Day/Year)

7. Title and Amount of 8. Price **Underlying Securities** Deriva (Instr. 3 and 4) Securi

1

#### Edgar Filing: BUCKELEW ALAN - Form 4



### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BUCKELEW ALAN CARNIVAL CORPORATION 3655 NW 87TH AVE MIAMI, FL 33178

President & CEO - PCL

### **Signatures**

/s/ Alan Buckelew 04/02/2009

\*\*Signature of Date Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The phantom stock units were accrued under Carnival plc's deferred compensation plan. 8,165 units were accrued on April 17, 2003 at a price of \$26.565 per unit.
- The units were originally to be settled 100% in cash upon the reporting person's retirement or other termination of employment, however, all participants under the deferred compensation plan were permitted to make a change to their payment election (including in-service withdrawals) under 409A transition rules. The reporting person received the investment experience relative to the performance of Carnival Corporation's shares on the date of settlement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2