KAMAN CORP Form 4 August 12, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5

obligations

may continue.

See Instruction

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Keating Neal J

(Last) (First) (Middle)

C/O KAMAN CORPORATION, 1332 BLUE HILLS AVE

(Street)

(Zip)

(State)

2. Issuer Name and Ticker or Trading Symbol

KAMAN CORP [KAMN]

3. Date of Earliest Transaction (Month/Day/Year) 08/12/2013

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X\_ Officer (give title

Issuer

below)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

\_X\_\_ Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

(Check all applicable)

Chm, Pres & CEO

10% Owner

\_ Other (specify

**OMB APPROVAL** 

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January 31,

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#### BLOOMFIELD, CT 06002

(City)

|                                      |                                      |   |        |        |           | _  |   |   | -          |
|--------------------------------------|--------------------------------------|---|--------|--------|-----------|--|---|---|------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) (Month/Day/Year) Execution Date, if any Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) |        |        | (D)       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership Form: Direct (D) or Indirect | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |            |
|                                      |                                      |   |        |        | (A)<br>or |  | Reported<br>Transaction(s)                | (I)<br>(Instr. 4)                                     | <b>,</b> , |
|                                      |                                      |   | Code V | Amount | (D)       | Price  | (Instr. 3 and 4)                          |   |            |
| Kaman<br>Common<br>Stock             | 08/12/2013                           |   | P      | 2,000  | A         | \$<br>37.3415<br>(1)   | 166,739.3571<br>(2) (3)                   | D   |            |
| Kaman                                |                                      |   |        |        |           |  | 14.000                                    | I   | Held In    |

Common Investment Stock

Management Account Of An LLC Wholly Owned By Mr. Keating

And His Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D) |                     |                    | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secun<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|---|--|---------------------|--------------------|---|--|---|---|
|   |   |   |   | Code V                                  | (Instr. 3, 4, and 5)  (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |   |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                       |       |  |  |  |
|---|---------------|-----------|-----------------------|-------|--|--|--|
| 1   | Director      | 10% Owner | Officer               | Other |  |  |  |
| Keating Neal J C/O KAMAN CORPORATION 1332 BLUE HILLS AVE BLOOMFIELD, CT 06002 | X             |           | Chm,<br>Pres &<br>CEO |       |  |  |  |

# **Signatures**

/s/ Neal J.

Keating

\*\*Signature of Reporting Person

O8/12/2013

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This figure represents the weighted average purchase price for the shares purchased. The range of prices was \$37.204 to \$37.399. The

(1) reporting person will provide full information regarding the number of shares purchased at each separate price upon request by the SEC staff, the issuer, or any security holder of the issuer

Reporting Owners 2

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- (2) Includes acquisition of 24.9737 shares under the Corporation's Employees Stock Purchase Plan, a Rule 16(b)-3 qualified plan, through 8/12/2013.
- Includes acquisition of 70.602 shares by the reporting person pursuant to the periodic, automatic reinvestment of dividends paid on the (3) Corporation's common stock under a program maintained by the reporting person's brokerage firm which is similar to the Corporation's Dividend Reinvestment Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.