### Edgar Filing: Voya Financial, Inc. - Form 4

Voya Financial, Inc. Form 4 April 01, 2015 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									OMB APPROVAL OMB 3235-0287 Number:		
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). (Print or Type Responses)											
SILVA KEVIN D Symbol				d Ticker or Inc. [VO		0	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
			of Earliest Transaction Day/Year) 2015				Director 10% Owner X Officer (give title Other (specify below) See Remarks				
	onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting						
NEW TORK, NT 10109 Person											
(City)	(State) (Zip)	Tab	le I - Non-l			-	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	any	Deemed cution Date, if onth/Day/Year)	Code (Instr. 3, 4 and 5) (Instr. 8) (A) (A) (A) (A) (A) (A) (A) (A) (A) (A			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock	03/30/2015		М	18,287	А	<u>(1)</u>	30,084	D			
Common Stock	03/30/2015		F	8,330 (2)	D		21,754	D			
Common Stock	03/30/2015		S <u>(3)</u>	999	D	\$ 43.672 (4)	20,755	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Title Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
SILVA KEVIN D 230 PARK AVENUE NEW YORK, NY 10169			See Remarks						
Signatures									
/s/ Jean Weng, Attorney in Fact	04/0	)1/2015							
<u>**</u> Signature of Reporting Person		Date							

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Delivery of shares of the issuer's common stock were made to the reporting person without the payment of any consideration in connection with the vesting of the underlying restricted stock units that were awarded as compensation.
- (2) Represents shares of common stock withheld to satisfy tax obligations, following delivery of common stock underlying the restricted stock units described in footnote 1.
- (3) The shares being sold are vested equity awards representing annual incentive payments made with respect to the 2012 performance year, which were mandatorily deferred pursuant to European executive compensation regulations.
- This transaction was executed in multiple trades at prices ranging from \$43.6630 to \$43.6810. The price reported represents the weighted
   (4) average sale price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares sold at each separate price.

#### **Remarks:**

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### Executive Vice President and Chief Human Resources Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.