Edgar Filing: AMERISOURCEBERGEN CORP - Form 4

AMERISOURCEBERGEN CORP

Form 4

November 13, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

10% Owner

Other (specify

Check this box if no longer subject to Section 16.

Form 4 or Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person *

Howell Peyton R

(Last) (First)

1300 MORRIS DRIVE

(Middle)

(Zip)

(Street)

CHESTERBROOK, PA 19087

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer

Symbol

AMERISOURCEBERGEN CORP [ABC]

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

3. Date of Earliest Transaction

(Month/Day/Year) 11/11/2015

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

X Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(State)

(Month/Day/Year)

Code (Instr. 8)

Code V Amount

TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

4. Securities

(A)

or

(D)

Securities Beneficially Owned Following Reported

5. Amount of

(D) or

Director

Applicable Line)

below)

_X__ Officer (give title

Indirect (I) (Instr. 4)

D

(Check all applicable)

Executive Vice President

6. Individual or Joint/Group Filing(Check

below)

Form: Direct Indirect Beneficial Ownership (Instr. 4)

(9-02)

6. Ownership 7. Nature of

Transaction(s) (Instr. 3 and 4) Price

29,818

Common Stock

(City)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Nun of S
Non-qualified Stock Option (Right to Buy)	\$ 98.24	11/11/2015	A	29,765	<u>(1)</u>	11/11/2022	Common Stock	29,
Restricted Stock Units	<u>(2)</u>	11/11/2015	A	2,647	11/11/2018	11/11/2022	Common Stock	2,0

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Howell Peyton R 1300 MORRIS DRIVE CHESTERBROOK, PA 19087

Executive Vice President

Signatures

John G. Chou for Peyton R. Howell

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exerciseable in four equal annual installments on 11/11/16, 11/11/17, 11/11/18 and 11/11/19
- (2) Annual grant of restricted stock units received for no consideration and vests 100% on the third anniversary of the date of grant Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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