PennyMac Mortgage Investment Trust

Form 4 June 06, 2016

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287 January 31,

Check this box if no longer subject to Section 16. Form 4 or

GES IN BENEFICIAL OWNERSHIP OF

Expires. 2005 Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person **
Perotti Daniel Stanley

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

PennyMac Mortgage Investment

(Check all applicable)

Trust [PMT]

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

____ Director _____ 10% Owner _____ Nother (give title _____ Other (specify

C/O PENNYMAC MORTGAGE INVESTMENT TRUST, 3043

ORTGAGE 06/03/2016 T, 3043

(Zip)

below) below)
Chief Asset & Liability Mgt Of

TOWNSGATE ROAD

(Street) 4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed (Month/Day/Year)

Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting

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Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

WESTLAKE VILLAGE, CA 91361

(State)

1.Title of Security (Month/Day/Year) 2.A. Deemed Execution Date, if any (Month/Day/Year) (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

(A)

(D)

5. Amount of Securities Beneficially Owned Following

6. 7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I) (Instr. 4)

Reported (Instr. 4) Transaction(s)

Common Shares of

Beneficial Interest

(City)

06/03/2016

S 1,676

Code V Amount

D \$ 48

Price

48,145 (2)

(Instr. 3 and 4)

<u>)</u> D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. 6. Date Exercisable and		7. Titl	e and	8. Price of	9	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Da	ate	Amou	nt of	Derivative	J
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative Securities Acquired (A) or		e	Se		ities	(Instr. 5)]
	Derivative							(Instr. 3 and 4)			(
	Security									J	
											J
					Disposed						7
				of (D) (Instr. 3,						(
					4, and 5)						
									A 4		
									Amount		
						Date	Expiration	T:41-	or Namelana		
						Exercisable Date	Title	Number			
				C 1 W	(A) (D)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Perotti Daniel Stanley C/O PENNYMAC MORTGAGE INVESTMENT TRUST 3043 TOWNSGATE ROAD WESTLAKE VILLAGE, CA 91361

Chief Asset & Liability Mgt

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Of

Signatures

/s/ Derek W. Stark, attorney-in-fact for Mr.
Perotti 06/06/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The common shares of beneficial interest were sold pursuant to a 10b5-1 plan, which included sales in order to pay estimated tax obligations resulting from the vesting of 3,725 restricted share units on June 3, 2016.
- (2) The reported amount consists of 27,639 restricted share units and 20,506 common shares of beneficial interest. The restricted share units are to be settled in an equal number of common shares of beneficial interest upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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