## Edgar Filing: Facebook Inc - Form 4

Facebook Inc       Form 4       OMB + PROVAL         FORM 4       UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB + PROVAL         Check this box if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       OMB - 2005         State of the pursuant to Section 16(a) of the Securities Exchange Act of 1934, etaion 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 16(b)       State of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(b)       Image: Image												
(Print or Type Responses)												
Cox Christopher K Symbol								5. Relationship of Reporting Person(s) to Issuer				
				of Earliest	-	n		(Check all applicable)				
(Month C/O FACEBOOK, INC., 1601 11/28, WILLOW ROAD								Director 10% Owner X Officer (give title Other (specify below) below) Chief Product Officer				
				Ionth/Day/Year) A				<ul> <li>Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>X_ Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting</li> </ul>				
(City)	(State)	(Zip)	Та	ble I - Nor	Dorivativ	o Soci	urities A cau	Person	or Bonoficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		d Date, if	3. 4. Securities Acquired (A) of TransactiorDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				r 5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A				Code V	Amount	(D)	Price \$	(Instr. 3 and 4)				
Common Stock	11/28/2016			S <u>(1)</u>	14,100	D	<sup>5</sup> 120.284 (2)	1 404,001	D			
Class A Common Stock	11/28/2016			S <u>(1)</u>	1,500	D	\$ 121.037 ( <u>3)</u>	3 402,501	D			
Class A Common Stock								76,945	I	By Christopher K. Cox Revocable Trust <u>(4)</u>		

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Class A Common Stock				28,81	l6 I		Inte Tru Cre Unc Chr K. C 200 Anr Tru	st ated ler The istopher Cox					
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.													
	inform require display	Persons who respond to the collection information contained in this form are r required to respond unless the form displays a currently valid OMB control number.					not (9-02)						
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of       2.       3. Transaction I         Derivative       Conversion       (Month/Day/Ye         Security       or Exercise         (Instr. 3)       Price of         Derivative       Security	Date 3A. Deemed ar) Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr				
				Date	Expiration Date	Title	Amount or Number						
		Code V	(A) (D)	Exercisable	Date	The	of Shares						
Reporting Owners	Reporting Owners												
	Rel	ationships											
<b>Reporting Owner Name / Address</b> Di		- Officer		Other									
Cox Christopher K C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025		Chief Pro	duct Offic	cer									

# Signatures

/s/ David Kling as attorney-in-fact for Christopher K. Cox

11/30/2016

#### \*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.

The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$119.86 to \$120.82 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the

(2) staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$120.95 to \$121.13 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the

- (3) staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (4) Shares held of record by Christopher Cox, Trustee of the Christopher K. Cox Revocable Trust.

Shares held of record by Remainder Interest Trust Created under the Christopher K. Cox 2009 Annuity Trust dated 5/29/2009, Visra

(5) Vichit-Vadakan, Trustee, the beneficiaries of which include the reporting person's children. The reporting person's spouse is trustee of the trust. The reporting person disclaims beneficial ownership of these shares, and the filing of this report is not an admission that the reporting person is the beneficial owner of these shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.