Edgar Filing: Johnson Carolyn M - Form 4/A

Form 4/A										
March 02, 2018 FORM 4 UN Check this box	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Number:3235-0287Expires:January 31, 2005Estimated average burden hours per response0.5	
if no longer subject to Section 16. Form 4 or Form 5 Fi								Estimated a burden hou response		
(Print or Type Responses)										
1. Name and Address of Ro Johnson Carolyn M	2. Issuer Name and Ticker or Trading Symbol Voya Financial, Inc. [VOYA]				g	5. Relationship of Reporting Person(s) to Issuer				
(Last) (First) 230 PARK AVENUE	(Middle)	3. Date of (Month/Da 02/21/20	•	insaction			Director X Officer (giv below)		e) 6 Owner er (specify	
(Street) NEW YORK, NY 10	Filed(Month/Day/Year) 02/23/2018					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
(City) (State)	(Zip)	Table	e I - Non-De	erivative S	ecuri	ties Aco	Person quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of2. TransadSecurity(Month/D)(Instr. 3)	any		3. Transactic Code (Instr. 8) Code V	4. Securit onAcquired Disposed (Instr. 3, -	ies (A) o of (D	r)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	-	
Common Stock							9,870	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Stock Unit	<u>(1)</u>	02/21/2018		А	12,976 (2)	(3)	(3)	Common Stock	12,976 <u>(3)</u>
Restricted Stock Units	<u>(4)</u>					<u>(4)</u>	(4)	Common Stock	25,586

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Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Johnson Carolyn M 230 PARK AVENUE NEW YORK, NY 10169			See Remarks					
Signatures								
/s/ Jean Weng, Attorney in Fact	03/0	02/2018						

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each stock unit represents a conditional right to receive one share of the company's common stock.
- (2) Corrects an error in the calculation of the number of units granted that was previously reported.
- The number of shares of common stock that will be delivered for each performance stock unit depends on the achievement of certain
 (3) performance factors. Depending on actual performance, the number of shares of common stock delivered upon the vesting date (February 21, 2021) can range from 0% to 150% of the number presented above.
- (4) The stock units will vest based on their respective award agreements.

Remarks:

CEO, Annuities and Individual Life

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.