

MILLER JAMES B JR  
Form 4  
December 28, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MILLER JAMES B JR

2. Issuer Name and Ticker or Trading Symbol  
FIDELITY SOUTHERN CORP  
[LION]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
3490 PIEDMONT ROAD, SUITE 1550  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
12/26/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman / Executive Officer

ATLANTA, GA 30305

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				(A) or (D)	Price		
Fidelity Southern Corporation - Common Stock	12/26/2018		D <sup>(1)</sup>	18,896	D \$ 25.38	2,807,600	D
Fidelity Southern Corporation - Common Stock	12/26/2018		D <sup>(2)</sup>	18,896	D \$ 25.38	2,788,704	D
	12/26/2018		D <sup>(3)</sup>	18,896	D	2,769,808	D

Edgar Filing: MILLER JAMES B JR - Form 4

Fidelity Southern Corporation - Common Stock	\$ 25.38			
Fidelity Southern Corporation - Common Stock	739.8072	I	By 401(k) <u>(4)</u> <u>(5)</u> <u>(6)</u>	
Fidelity Southern Corporation - Common Stock	13,150.9157	I	By Grandchild - N.p. Miller	
Fidelity Southern Corporation - Common Stock	261,405.9486	I	By Shares Held By Ltd Partnership	
Fidelity Southern Corporation - Common Stock	247,671.74	I	By Trust - Family	
Fidelity Southern Corporation - Common Stock	113,083.4248	I	By Trust - Spouse Estate	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
*(e.g., puts, calls, warrants, options, convertible securities)*

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu
--	--	--------------------------------------	--	--------------------------------	--	--	---	--	-------

Edgar Filing: MILLER JAMES B JR - Form 4

(Instr. 3, 4, and 5)

Date      Expiration  
Exercisable      Date

Title

Amount  
or  
Number  
of  
Shares

Code   V   (A)   (D)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MILLER JAMES B JR 3490 PIEDMONT ROAD SUITE 1550 ATLANTA, GA 30305	X	X	Chairman	Executive Officer

## Signatures

Elna Klein-Kolarich, Attorney in Fact for James B. Miller, Jr. 12/28/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock award date 1/21/2016 - accelerated
- (2) Restricted stock award grant 6/15/17 - accelerated Original vesting date 6/15/19
- (3) Restricted stock award grant 6/15/17 - accelerated Original vesting date 6/15/20
- (4) Not Active Footnote - 8/26/04
- (5) Purchased 2200.4284 shares of Fidelity Southern Corporation stock in the 401(k) Plan at various times and prices during 2004.
- (6) Purchased 3,254 shares through Fidelity Southern Corporation 401(k) Plan @ various times and prices during 2003

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.