

RANKIN CORBIN
Form 4
April 03, 2019

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN CORBIN

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
04/01/2019

____ Director
____ Officer (give title below) Other (specify below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount (A) or (D)	Price				
Class A Common Stock	04/01/2019		P		435 ⁽¹⁾	A	\$ 64.5419	9,480	D	
Class A Common Stock	04/01/2019		P		2 ⁽¹⁾	A	\$ 64.54 ⁽²⁾	649	I	Spouse's proportionate interest in shares held by RAV
Class A Common Stock	04/01/2019		P		32 ⁽¹⁾	A	\$ 64.54 ⁽²⁾	28,955	I	Spouse serves as trustee for GSTs for the benefit of

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Class A Common Stock	04/01/2019	P	32 <u>(1)</u>	A	\$ <u>64.54</u> (2)	28,955	I	Matthew M. Rankin Spouse serves as trustee for GSTs for the benefit of James T. Rankin
Class A Common Stock	04/01/2019	P	32 <u>(1)</u>	A	\$ <u>64.54</u> (2)	28,955	I	Spouse serves as trustee for GSTs for the benefit of Thomas Parker Rankin
Class A Common Stock	04/01/2019	P	32 <u>(1)</u>	A	\$ <u>64.54</u> (2)	28,987	I	Spouse serves as trustee for GSTs for the benefit of James T. Rankin
Class A Common Stock	04/01/2019	P	32 <u>(1)</u>	A	\$ <u>64.54</u> (2)	28,987	I	Spouse serves as trustee for GSTs for the benefit of Matthew M. Rankin
Class A Common Stock	04/01/2019	P	32 <u>(1)</u>	A	\$ <u>64.54</u> (2)	28,987	I	Spouse serves as trustee for GSTs for the benefit of Thomas Parker Rankin
Class A Common Stock	04/01/2019	P	1 <u>(1)</u>	A	\$ <u>64.54</u> (2)	280	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	04/01/2019	P	2 <u>(1)</u>	A	\$ <u>64.54</u> (2)	606	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common	04/02/2019	P	435 <u>(1)</u>	A	\$ 64.7064	9,915	D	

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Stock

Class A Common Stock	04/02/2019	P	2 <u>(1)</u>	A	\$ <u>64.71</u> (3)	651	I	Spouse's proportionate interest in shares held by RAV
Class A Common Stock	04/02/2019	P	32 <u>(1)</u>	A	\$ <u>64.71</u> (3)	29,019	I	Spouse serves as trustee for GSTs for the benefit of Matthew M. Rankin
Class A Common Stock	04/02/2019	P	32 <u>(1)</u>	A	\$ <u>64.71</u> (3)	29,019	I	Spouse serves as trustee for GSTs for the benefit of James T. Rankin
Class A Common Stock	04/02/2019	P	32 <u>(1)</u>	A	\$ <u>64.71</u> (3)	29,019	I	Spouse serves as trustee for GSTs for the benefit of Thomas Parker Rankin
Class A Common Stock	04/02/2019	P	32 <u>(1)</u>	A	\$ <u>64.71</u> (3)	29,051	I	Spouse serves as trustee for GSTs for the benefit of James T. Rankin
Class A Common Stock	04/02/2019	P	32 <u>(1)</u>	A	\$ <u>64.71</u> (3)	29,051	I	Spouse serves as trustee for GSTs for the benefit of Matthew M. Rankin
Class A Common Stock	04/02/2019	P	32 <u>(1)</u>	A	\$ <u>64.71</u> (3)	29,051	I	Spouse serves as trustee for GSTs for the benefit of Thomas Parker Rankin
Class A Common Stock	04/02/2019	P	1 <u>(1)</u>	A	\$ <u>64.71</u> (3)	607	I	Reporting person's proportionate interest in shares held by

Class A Common Stock	14,343	I	Rankin Associates VI Reporting Person's LP interest in shares held in RA1
Class A Common Stock	1,843	I	Reporting person's proportionate interests in shares held by Rankin Associates II, L.P
Class A Common Stock	7	I	Held by Spouse
Class A Common Stock	19	I	spouse's proportionate general partnership interest in shares of RA IV LP held by the Trust ⁽⁴⁾
Class A Common Stock	25,324	I	spouse's proportionate partnership interest in shares held by RA I LP ⁽⁴⁾
Class A Common Stock	34,849	I	spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P ⁽⁴⁾
Class A Common Stock	65,824	I	Spouses proportionate LP interest in shares held in RA4 ⁽⁴⁾
	77	I	

Class A Common Stock							Spouse's proportionate Interest in shares held by RAV held by Rankin Management
Class A Common Stock				95		I	Spouse's Proportionate Interest in shares held by RAVI held by Rankin Management
Class A Common Stock				154,036		I	spouse serves as Trustee of a Trust for the benefit of Thomas T. Rankin ⁽⁵⁾
Class A Common Stock				1,975		I	Spouse's proportionate interest in shares held in RMI ⁽⁴⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price Derivati Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						(6)	(6)		3,622

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Class B Common Stock				Class A Common Stock	
Class B Common Stock	<u>(6)</u>		<u>(6)</u>	Class A Common Stock	25,657
Class B Common Stock	<u>(6)</u>		<u>(6)</u>	Class A Common Stock	1,843
Class B Common Stock	<u>(6)</u>		<u>(6)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(6)</u>		<u>(6)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(6)</u>		<u>(6)</u>	Class A Common Stock	6,889
Class B Common Stock	<u>(6)</u>		<u>(6)</u>	Class A Common Stock	7
Class B Common Stock	<u>(6)</u>		<u>(6)</u>	Class A Common Stock	31
	<u>(6)</u>		<u>(6)</u>		45,300

Class B
Common
Stock

Class A
Common
Stock

Class B
Common
Stock

(6)

(6)

(6)

Class A
Common
Stock

34,849

Class B
Common
Stock

(6)

(6)

(6)

Class A
Common
Stock

104,286

Class B
Common
Stock

(6)

(6)

(6)

Class A
Common
Stock

145,912

Class B
Common
Stock

(6)

(6)

(6)

Class A
Common
Stock

1,975

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

RANKIN CORBIN
5875 LANDERBROOK DRIVE
MAYFIELD HEIGHTS, OH 44124

Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

04/03/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2019-Apr-1-Weighted Average - Share Price represents average price between \$64.20 and \$64.99.
- (3) 2019-Apr-2-Weighted Average - Share Price represents average price between \$64.29 and \$64.99.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) Reporting Person disclaims beneficial ownership of all such shares.
- (6) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.