## Edgar Filing: Ochrym Robert G - Form 4

Ochrym Ro Form 4 November										
	ЛЛ						PPROVAL			
	FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									
Check t if no lo subject Section Form 4 Form 5 obligati may co <i>See</i> Ins 1(b).	to 16. or Filed pur tions ntinue.	Washington, D.C. 20549       Number:       0.000 0.000         STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Securities Exchange Act of 1934,       Expires:       200         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,       expires:       200         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,       0.100       expires:       0.100         Solution 17(a) of the Public Utility Holding Company Act of 1940       1940       0.100       0.100								
(Print or Type	e Responses)									
1. Name and Address of Reporting Person <u>*</u> Ochrym Robert G			uer Name <b>an</b> d INC [AXT	<b>d</b> Ticker or Trading	Issuer	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First) (Middle) 3. Date of Earliest Transaction				(Clied	k all applicabl	e)			
4281 TECHNOLOGY DRIVE			n/Day/Year) /2010		below)	X Officer (give title Other (specify				
(Street)			mendment, D /onth/Day/Yea	-	Applicable Line) _X_ Form filed by	_X_ Form filed by One Reporting Person				
FREMON	T, CA 94538				Form filed by M Person	Aore than One R	eporting			
(City)	(State)	(Zip) Ta	able I - Non-	Derivative Securit	ies Acquired, Disposed o	f, or Beneficia	lly Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) P	Securities H Beneficially ( Owned ( Following ( Reported Transaction(s) (Instr. 3 and 4)	5. Ownership Form: Direct D) or Indirect I) Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Reminder: Ro	eport on a separate line	e for each class of se	ecurities bene	Persons who information or required to re	etly or indirectly. respond to the collect contained in this form espond unless the form irrently valid OMB cor	are not m	SEC 1474 (9-02)			

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	or D (D)	uired (A) isposed of r. 3, 4, 5)				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock	\$ 1.59	11/23/2010		S		10,350	10/29/2010	10/31/2018	Common Stock	10,350
Common Stock	\$ 2.04	11/23/2010		S		12,500	10/26/2010	10/26/2019	Common Stock	12,500

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Ochrym Robert G 4281 TECHNOLOGY DRIVE FREMONT, CA 94538			VP Business Development				
Signatures							

/s/ Robert Ochrym by power of attorney

\*\*Signature of Reporting Person

11/23/2010 Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.