#### SIMPSON MICHAEL

Form 4 April 29, 2011

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* SIMPSON MICHAEL

(First)

(Street)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

CASTLE A M & CO [CAS]

3. Date of Earliest Transaction (Month/Day/Year)

04/28/2011

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

\_X\_\_ Director 10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### FRANKLIN PARK, IL 60131

3400 NORTH WOLF ROAD

(City)	(State) (Z	Zip) Table	e I - Non-D	erivative S	Securi	ties Ac	quired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	04/28/2011		A	3,694	A	\$ 0	97,083	D	
Common Stock							10,546	I	See Note
Common Stock							22,781	I	See Note
Common Stock							262,433	I	See Note
Common Stock							20,992	I	See Note
							157,872	I	

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Common Stock			See Note (5)
Common Stock	1,371.126	I	Through 401(k) Plan <u>(6)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	Execution Date, if	4. Transacti Code	5. orNumber of	6. Date Exerc Expiration D (Month/Day/	ate	7. Title Amour Underl	nt of	8. Price of Derivative Security	9. Nu Deriv Secu
(Instr. 3)	Price of		any (Month/Day/Year)	(Instr. 8)		`	i cai j	Securit		(Instr. 5)	Bene
(111501. 5)	Derivative		(Mondin Day/ Tear)	(111511.0)	Securities				3 and 4)	(111501.5)	Owne
	Security				Acquired			(223047			Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title	Number		
				Code V	(A) (D)				of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 6	Director	10% Owner	Officer	Other			
SIMPSON MICHAEL 3400 NORTH WOLF ROAD FRANKLIN PARK, IL 60131	X						

### **Signatures**

Robert J. Perna, Attorney-In-Fact 04/29/2011 \*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Beneficiary under Trust dated 12/07/1949 United States Trust Co., Trustee.

Reporting Owners 2

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- (2) Beneficiary under Trust dated 7/24/1968 United States Trust Co., Trustee.
- (3) Beneficiary under Trust dated 7/26/1972 United States Trust Co. and Patrick J. Herbert, III, Trustee.
- (4) Beneficiary under Trust dated 12/23/1976 Northern Trust Co., Trustee.
- (5) Beneficiary under Trust dated 12/19/1980 Patrick J. Herbert, III, Trustee.
  - Between November 30, 2010 and March 31, 2011 the reporting person's share balance of A. M. Castle & Co. stock under the A. M.
- (6) Castle & Co. 401(k) plan decreased by 0.2854 shares. The information in this report is based on a plan statement dated as of March 31, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.