Edgar Filing: CENTRAL VALLEY COMMUNITY BANCORP - Form 5

CENTRAL VALLEY COMMUNITY BANCORP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Form 5

January 07, 2014

OMB APPROVAL FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer MCDONALD STEVEN D Symbol CENTRAL VALLEY (Check all applicable) COMMUNITY BANCORP [CVCY] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) _X_ Director 10% Owner Officer (give title (Month/Day/Year) Other (specify below) below) 12/31/2013 2975 SOUTH MACDONOUGH RD (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) SANGER. CAÂ 93657 _X_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial any (Month/Day/Year) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership (Instr. 8) of Issuer's (Instr. 4) (Instr. 4) (A) Fiscal Year or (Instr. 3 and 4) Amount (D) Price **CVCY** Â Â Common 08/06/2013 J4 594 (1) A D \$0 356,534 Stock

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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SEC 2270

(9-02)

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	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	ınt of	Derivative
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)
		Derivative				Securities			(Instr.	3 and 4)	
		Security				Acquired					
						(A) or					
						Disposed					
						of (D)					
						(Instr. 3,					
						4, and 5)					
										Amount	
					<i>(</i> A		Date Exercisable	Expiration Date	Title	or Namelana	
										Number	
						(A) (D)				of	
						(A) (D)				Shares	

of D

B

Is Fi

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

MCDONALD STEVEN D

2975 SOUTH MACDONOUGH RD Â X Â Â Â

Signatures

SANGER, CAÂ 93657

/s/Steven D. 01/07/2014

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were received in merger in exchange for target company shares acquired more than six months prior to the merger.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. op-width: 0; border-left-width: 1; border-right-width: 1; border-bottom-width: 1">HOAG JAY C

C/O TECHNOLOGY CROSSOVER VENTURES

528 RAMONA STREET

PALO ALTO, CA 94301 X X May be part of 13(d) groupKIMBALL RICK

C/O TECHNOLOGY CROSSOVER VENTURES

528 RAMONA STREET

PALO ALTO, CA 94301 X May be part of 13(d) groupDREW JOHN

C/O TECHNOLOGY CROSSOVER VENTURES

528 RAMONA STREET

PALO ALTO, CA 94301 X May be part of 13(d) groupREYNOLDS JON Q JR

C/O TECHNOLOGY CROSSOVER VENTURES

528 RAMONA STREET

PALO ALTO, CA 94301 X May be part of 13(d) group

Reporting Owners 2

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Signatures

Frederic D. Fenton Authorized signatory for Jay C. Hoag 03/11/2014

> **Signature of Reporting Person Date

Frederic D. Fenton Authorized signatory for Richard H. 03/11/2014

Kimball

**Signature of Reporting Person Date

Frederic D. Fenton Authorized signatory for John L. Drew 03/11/2014

> **Signature of Reporting Person Date

Frederic D. Fenton Authorized signatory for Jon Q. 03/11/2014 Reynolds, Jr.

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported reflects the weighted average sale price. This transaction was executed in multiple trades at prices ranging from \$6.77 (1) to \$6.90. The Reporting Persons hereby undertake to provide upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- These shares are directly held by TCV V, L.P. Each of Jay C. Hoag ("Hoag"), Richard H. Kimball, John L. Drew and Jon Q. Reynolds, Jr. (the "Class A Members") are Class A Members of Technology Crossover Management V, L.L.C. ("TCM V") which is the general partner of TCV V, L.P. The Class A Members and TCM V may be deemed to beneficially own the shares held by TCV V, L.P., but the Class A Members and TCM V disclaim beneficial ownership of such shares except to the extent of their pecuniary interest therein.
- These shares are directly held by TCV Member Fund, L.P. Each of the Class A Members is (i) a Class A Member of TCM V, which is a general partner of TCV Member Fund, L.P. and (ii) a limited partner of TCV Member Fund, L.P. The Class A Members and TCM V may be deemed to beneficially own the shares held by TCV Member Fund, L.P., but the Class A Members and TCM V disclaim beneficial ownership of such shares except to the extent of their pecuniary interest therein.
- These shares are directly held by TCV Management 2004, L.L.C. ("TCM 2004") and include shares that were previously reported as (4) owned by Hoag which were subsequently transferred to TCM 2004 by Hoag. Each of the Class A Members are members of TCM 2004 but disclaim beneficial ownership of such shares except to the extent of their pecuniary interest therein.
- These shares are directly held by Hoag. Hoag has sole dispositive power over the shares, however, TCM 2004 owns 100% of the (5) pecuniary interest therein. Each of the Class A Members are members of TCM 2004, but each disclaim beneficial ownership of such shares except to the extent of their pecuniary interest therein.

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Signatures 3