## HEALTHEQUITY INC Form 3 July 30, 2014 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB approval

### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Rana Manu S			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol HEALTHEQUITY INC [HQY]				
(Last)	(First)	(Middle)	07/30/2014	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
280 PARK AVENUE, 3RD FLOOR				(Check all applicable)			r nod(nondi Day, rod)	
(Street) NEW YORK, NY 10017				X Director Officer (give title below			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person	
NEW TOR	X,A N I A	10017					Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - N	lon-Derivati	ve Securiti	es Ber	neficially Owned	
1.Title of Secu (Instr. 4)	rity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owner (Instr.	•	
Common St	ock		728,000		Ι	See f	ootnote (1)	
Reminder: Rep owned directly	-		ach class of securities benefic	ially SI	EC 1473 (7-02	!)		
	infor requ	mation cont	pond to the collection of ained in this form are not ond unless the form displ MB control number.					

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
		TT: 41	Derivative	Security:	
	Title		Security	Direct (D)	

3235-0104

January 31,

2005

0.5

Number:

Expires:

response...

Estimated average burden hours per

## Edgar Filing: HEALTHEQUITY INC - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Series D-3 Preferred Stock	(2)	(2)	Common Stock	3,303,468	\$ <u>(2)</u>	Ι	See footnote $(1)$
Stock Option (right to buy)	(3)	10/25/2021	Common Stock	7,500	\$ 2.25	D	Â
Stock Option (right to buy)	(3)	04/26/2022	Common Stock	15,000	\$ 2.25	D	Â
Stock Option (right to buy)	(3)	05/09/2023	Common Stock	15,000	\$ 2.5	D	Â

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
Rana Manu S 280 PARK AVENUE, 3RD FLOOR NEW YORK, NY 10017	ÂX	Â	Â	Â		

# Signatures

/s/ Manu Rana	07/30/2014
<u>**</u> Signature of Reporting Person	Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) See Exhibit 99.1 for text of footnote (1).
- (2) The reported securities automatically will convert into shares of common stock on the business day immediately prior to the closing date of the initial public offering of HealthEquity, Inc.

(3) The option is immediately exercisable.

```
Â
Remarks:
Exhibit List:
```

### Exhibit 99.1 - Explanation of Responses

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.