CytomX Therapeutics, Inc.

Form 4 June 14, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* **GLUCK FREDERICK W** 

(Zip)

(Month/Day/Year)

2. Transaction Date 2A. Deemed

(Month/Day/Year) Execution Date, if

(First) (Middle) (Last)

743 SAN YSIDRO ROAD

(City)

1.Title of

Security

(Instr. 3)

(Street)

SANTA BARBARA, CA 93108

(State)

2. Issuer Name and Ticker or Trading

CytomX Therapeutics, Inc. [CTMX]

Symbol

3. Date of Earliest Transaction

(Month/Day/Year) 06/10/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities TransactionAcquired (A) or

Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

Issuer

below)

Person

5. Amount of

Securities

Following

Owned

Beneficially

X Director

Applicable Line)

Officer (give title

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise (Instr. 3) Price of

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year) 4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A)

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

1

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

2005 Estimated average burden hours per

10% Owner

Other (specify

7. Nature of

Ownership

(Instr. 4)

**SEC 1474** 

(9-02)

Indirect

response...

Expires:

5. Relationship of Reporting Person(s) to

6. Individual or Joint/Group Filing(Check

6. Ownership

Form: Direct

(Instr. 4)

(D) or Indirect Beneficial

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Check all applicable)

0.5

January 31,

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	Derivative Security				or Dispose (D) (Instr. 3, 4 and 5)	nstr. 3, 4,				
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 10.17	06/10/2016	A		14,000		<u>(1)</u>	06/09/2026	Common Stock	14,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Transfer of the second	Director	•	Other			
GLUCK FREDERICK W 743 SAN YSIDRO ROAD SANTA BARBARA, CA 93108	X					

## **Signatures**

/s/ Cynthia J. Ladd, attorney-in-fact 06/14/2016
\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests in full on June 10, 2017 subject to the Reporting Person's continued service to the Issuer through such date.

#### **Remarks:**

Ex. 24.1 - Power of Attorney (incorporated by reference to the Power of Attorney filed as Exhibit 24.1 to the Form 3 filed by the second secon

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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