Contango ORE, Inc. Form 4
September 01, 2016

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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287 January 31,

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

\_X\_\_ Director

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: 2005
Estimated average burden hours per

10% Owner

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Contango ORE, Inc. [CTGO]

3. Date of Earliest Transaction

(Month/Day/Year)

Symbol

(Middle)

1(b).

(Print or Type Responses)

JUNEAU JOHN B

(Last)

1. Name and Address of Reporting Person \*

(First)

3700 BUFFALO SPEEDWAY,, SUITE 925		08/26/2016			X Officer (give title Other (specify below)  President and CEO					
			endment, D onth/Day/Yea	Oate Original ar)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
HOUSTON, TX 77098						Form filed by More than One Reporting Person				
(City)	(State)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock, par value \$0.01	08/26/2016		A	80,000 A \$0 (1)	175,898	D				
Common Stock, par value \$0.01					105,567	I	By J5D Enterprises, LP,			
Common Stock, par value \$0.01					41,667	I	By Juneau Exploration, L.P.			

#### Edgar Filing: Contango ORE, Inc. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secun (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Warrant (Right to Buy)	\$ 10					09/22/2013	03/22/2018	Common Stock	41,667	
Warrant (Right to Buy)	\$ 10					09/22/2013	03/22/2018	Common Stock	41,667	
Stock Option (Right to Buy)	\$ 10					(2)	12/07/2017	Common Stock	75,000	

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
JUNEAU JOHN B 3700 BUFFALO SPEEDWAY, SUITE 925 HOUSTON, TX 77098	X		President and CEO			

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#### **Signatures**

/s/ John B. Juneau 09/01/2016

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares represent a grant of restricted stock which vests in three equal annual installments beginning on August 26, 2016.
- (2) The options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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