

Edgar Filing: SURMODICS INC - Form SC 13G/A

SURMODICS INC  
Form SC 13G/A  
March 12, 2008

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Schedule 13G

Under the Securities Exchange Act of 1934

(Amendment No. 9)

Surmodics, Inc.

-----  
(Name of Issuer)

Common Stock, \$.05 par value

-----  
(Title of Class of Securities)

867773 10 0

-----  
(CUSIP Number)

December 31, 2007

-----  
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☐ Rule 13d-1(b)  
☐ Rule 13d-1(c)  
☒ Rule 13d-1(d)

Page 2 of 4

CUSIP NO. 868873 10 0

-----  
1 NAME OF REPORTING PERSON  
I.R.S. IDENTIFICATION NOS. OF ABOVE PERSON (ENTITIES ONLY).

David A. Koch

-----  
2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP  
(a) ☐  
(b) ☐

-----  
3 SEC USE ONLY

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4	CITIZENSHIP OR PLACE OF ORGANIZATION
	USA
-----	
5	SOLE VOTING POWER
	839,583 (includes 24,000 shares which may be purchased upon the exercise of options)
-----	
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON	6 SHARED VOTING POWER
	125,000
-----	
	7 SOLE DISPOSITIVE POWER
	839,583 (includes 24,000 shares which may be purchased upon the exercise of options)
-----	
WITH:	8 SHARED DISPOSITIVE POWER
	125,000
-----	
9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
	964,583 (includes 24,000 shares which may be purchased upon the exercise of options)
-----	
10	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS) <input type="checkbox"/>
-----	
11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
	5.28%
-----	
12	TYPE OF REPORTING PERSON
	IN
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Item 1(a). Name of issuer: Surmodics, Inc.

Item 1(b). Address of issuer's principal executive offices:

9924 West 74th Street  
Eden Prairie, MN 55344-3523

Item 2(a). Names of person filing: David A. Koch

Item 2(b). Address of principal business office:

505 N Highway 169  
Suite 595  
Plymouth, MN 55441

Item 2(c). Citizenship: USA

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Item 2(d). Title of class of securities: Common Stock, \$.05 par value

Item 2(e). CUSIP No.: 868873 10 0

Item 3. If this statement is filed pursuant to Secs. 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

Not applicable

Item 4. Ownership

(a) Amount beneficially owned: 964,583 (includes 24,000 shares which may be purchased upon the exercise of options)

(b) Percent of class: 5.28%

(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote: 839,583 (includes 24,000 shares which may be purchased upon exercise of options)

(ii) Shared power to vote or to direct the vote: 125,000

(iii) Sole power to dispose or to direct the disposition of: 839,583 (includes 24,000 shares which may be purchased upon exercise of options)

(iv) Shared power to dispose or to direct the disposition of: 125,000

Item 5. Ownership of 5 percent or Less of a Class: Not applicable.

Item 6. Ownership of More than 5 Percent on Behalf of Another Person:

Not applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person: Not applicable.

Item 8. Identification and Classification of Members of the Group:

Not applicable.

Item 9. Notice of Dissolution of Group: Not applicable.

Item 10. Certifications Not applicable.

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Signature

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: March 12, 2008

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/s/ David A. Koch

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Signature

David A. Koch

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Name/Title