JAMBA, INC. Form 3 October 16, 2013

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

A Beeson John Christopher

(Last) (First) (Middle)

C/O JAMBA, INC., 6475 CHRISTIE AVENUE, SUITE 150

(Street)

(State)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Statement

(Month/Day/Year)

10/07/2013

4. Relationship of Reporting Person(s) to Issuer

JAMBA, INC. [JMBA]

(Check all applicable)

10% Owner Director \_X\_\_ Officer Other

(give title below) (specify below) SVP, Store Operations

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

4. Nature of Indirect Beneficial

### EMERYVILLE. CAÂ US 94608

1. Title of Security

(Instr. 4)

(City)

(Zip)

### Table I - Non-Derivative Securities Beneficially Owned

Beneficially Owned

(Instr. 4)

2. Amount of Securities

Ownership Form:

3.

Ownership (Instr. 5)

Â

Direct (D) or Indirect (I)

(Instr. 5)

D

**COMMON STOCK**  $6,020^{(1)}$ 

Reminder: Report on a separate line for each class of securities beneficially

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and (Instr. 4)

owned directly or indirectly.

**Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. Conversion or Exercise

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Price of Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
STOCK OPTION (RIGHT TO BUY)	(2)	11/28/2016	COMMON STOCK	256	\$ 25.45	D	Â
STOCK OPTION (RIGHT TO BUY)	(2)	11/29/2016	COMMON STOCK	900	\$ 56.8	D	Â
STOCK OPTION (RIGHT TO BUY)	(2)	03/18/2018	COMMON STOCK	500	\$ 10.95	D	Â
STOCK OPTION (RIGHT TO BUY)	(2)	09/03/2018	COMMON STOCK	2,960	\$ 6.55	D	Â
STOCK OPTION (RIGHT TO BUY)	(2)	11/16/2019	COMMON STOCK	800	\$ 8.95	D	Â
STOCK OPTION (RIGHT TO BUY)	(3)	06/01/2020	COMMON STOCK	1,500	\$ 11.35	D	Â
STOCK OPTION (RIGHT TO BUY)	(4)	11/12/2020	COMMON STOCK	3,000	\$ 11.1	D	Â
STOCK OPTION (RIGHT TO BUY)	(5)	11/14/2021	COMMON STOCK	4,000	\$ 8.05	D	Â
STOCK OPTION (RIGHT TO BUY)	(6)	03/12/2022	COMMON STOCK	2,000	\$ 10.75	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b></b>	Director	10% Owner	Officer	Other		
Beeson John Christopher C/O JAMBA, INC. 6475 CHRISTIE AVENUE, SUITE 150 EMERYVILLE Â CAÂ US 94608	Â	Â	SVP, Store Operations	Â		

# **Signatures**

/s/ Vicki Pedersen, attorney-in-fact for John Christopher Beeson 10/16/2013

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The securities reported in this column consist of 4,666 restricted stock units which give the Reporting Person a contingent right to receive at a future date one share of JMBA common stock for each restricted stock unit held. Of the 4,666 restricted stock units, 1,000 will vest on 8/8/2014, 833 will vest on 8/22/2014, 1,000 will vest on 8/8/2015, 833 will vest on 8/22/2015, and 1,000 will vest on 8/8/2016.

Date

(2) The stock option is fully vested.

Reporting Owners 2

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- (3) Vesting occurs in four equal installments on the first, second, third and fourth anniversary of the vesting commencement date of 4/19/2010.
- (4) Vesting occurs in four equal installments on the first, second, third and fourth anniversary of the vesting commencement date of
- (5) Vesting occurs in four equal installments on the first, second, third and fourth anniversary of the vesting commencement date of 11/14/2011.
- (6) Vesting occurs in four equal installments on the first, second, third and fourth anniversary of the vesting commencement date of 1/25/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.