Edgar Filing: NEOGENOMICS INC - Form 4

NEOGENO	MICS INC										
Form 4											
December 1	9, 2014										
FORM	ΛΔ								OMB A	PPROVAL	
	Washington, D.C. 20549									3235-0287	
Check the check	aar								Expires:	January 31,	
subject t Section Form 4	16.			SECU	ERSHIP OF	Expires: 2005 Estimated average burden hours per response 0.5					
Form 5 obligatio may cor <i>See</i> Inst 1(b).	ons Section 17((a) of the l	Public U	tility Ho		mpan	y Act of	Act of 1934, 1935 or Section	1		
(Print or Type	Responses)										
HOREL ROBERT H. Sym				. Issuer Name and Ticker or Trading mbol EOGENOMICS INC [NEO]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (Middle)	3. Date of Earliest Transaction					(Check	c all applicable	e)	
(Mo				Ionth/Day/Year) 2/18/2014				Director 10% Owner X Officer (give title Other (specify below) Vice President andGM PathLogic			
	(Street)			endment, l nth/Day/Ye	Date Origin ear)	al		6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by M	ne Reporting Pe	erson	
FORT MY	ERS, FL US 3391	13					-	Person		porting	
(City)	(State)	(Zip)	Tab	le I - Non	-Derivativ	e Secur	ities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)				3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	ities Ownership Indir icially Form: Bene d Direct (D) Own- ving or Indirect (Instr ted (I) action(s) (Instr. 4)		
				Code V	Amount	t (D)	Price	(Instr. 5 and 4)			
Common Stock	12/18/2014			М	17,893	А	\$ 1.47	17,893	D		
Common Stock	12/18/2014			М	5,000	А	\$ 1.41	22,893	D		
Common Stock	12/18/2014			М	40,000	А	\$ 1.43	62,893	D		
Common Stock	12/18/2014			S	62,893	D	\$ 4 2003	0	D		

4.2003

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Stock

Edgar Filing: NEOGENOMICS INC - Form 4

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 1.47	12/18/2014		Х	17,893	12/26/2006 <u>(1)</u>	12/25/2016	Common Stock	0
Stock Option (Right to buy)	\$ 1.41	12/18/2014		Х	5,000	03/04/2011(2)	03/04/2015	Common Stock	0
Stock Option (Right to buy)	\$ 1.43	12/18/2014		Х	40,000	04/20/2011(<u>3)</u>	04/20/2015	Common Stock	0
Stock Option (Right to Buy)	\$ 0.62					12/31/2009 <u>(4)</u>	02/02/2019	Common Stock	0
Stock Option (Right to Buy)	\$ 1.47					02/13/2007(5)	02/12/2017	Common Stock	0
Stock Option (Right to Buy)	\$ 1.45					05/20/2012 <u>(6)</u>	05/19/2016	Common Stock	0
Stock Option (Right to Buy)	\$ 1.69					04/12/2012 <u>(7)</u>	04/12/2017	Common Stock	0

10% Owner

Director

Reporting Owners

Reporting Owner Name / Address

Relationships

Officer

Other

HOREL ROBERT H. 12701 COMMONWEALTH DRIVE SUITE 9 FORT MYERS, FL US 33913

Vice President and GM PathLogic

Signatures

/s/ Robert H. 12/19/2014 Horel

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On December 26, 2006 Mr. Horel was granted 30,000 stock options. There was immediate vesting of 4,000 shares and the remaining shares had a combination of time based and performance based vesting. There were 8,000 shares cancelled from this grant.
- (2) On March 4, 2010 Mr. Horel was granted 5,000 stock options. These shares vested ratably over the first two anniversaries of the grant date.
- (3) On April 20, 2010 Mr. Horel was granted 40,000 stock options. These shares vested ratably over the first two anniversaries of the grant date.
- (4) On February 2, 2009 Mr. Horel was granted 6,000 stock options. These shares had performance based vesting and the first performance milestone was on December 31, 2009. There were 2,000 shares cancelled from this grant because of a missed performance milestone.
- (5) On February 13, 2007 Mr. Horel was granted 500 stock options which had immediate vesting.
- (6) On May 20, 2011 Mr. Horel was granted 50,000 stock options. These shares vest ratably over the first four anniversaries of the grant date.
- On April 12, 2012 Mr. Horel was granted 25,000 stock options. There was immediate vesting of 6,250 shares and then 6,250 shares will vest on each of the first three anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.