

CorMedix Inc.
Form 4
June 01, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Lefkowitz Steven W

(Last) (First) (Middle)

C/O CORMEDIX INC., 745 ROUTE 202-206, SUITE 303

(Street)

BRIDGEWATER, NJ US 08807

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CorMedix Inc. [CRMD]

3. Date of Earliest Transaction (Month/Day/Year)
05/28/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock, \$0.001 par value per share | 05/28/2015 | | S | 10,000 D \$ 6.1602 (11) | 146,471 | D | |
| Common Stock, \$0.001 par value per share | 06/01/2015 | | S | 29,072 D \$ 6.6558 (12) | 117,399 | D | |
| Common Stock, | | | | | 174,741 | I (1) | Wade Capital |

| | | | | | | | |
|---|--|--|--|--------|------------------|--|--|
| \$0.001 par value per share | | | | | | | Corporation Money Purchase Plan |
| Common Stock, \$0.001 par value per share | | | | 10,000 | I ⁽¹⁾ | | Reporting Person's Wife |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|---|---|---|---|---|---|--|---|---|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount Number Shares |
| Stock Option (right to buy) | \$ 2.02 | | | | | <u>(2)</u> | 01/09/2024 | Common Stock, \$0.001 par value per share | 200,000 |
| Stock Option (right to buy) | \$ 2.02 | | | | | <u>(7)</u> | 01/09/2024 | Common Stock, \$0.001 par value per share | 30,000 |
| Swries C-3 Non-Voting Convertible Preferred Stock | \$ 1 | | | | | <u>(3)</u> | <u>(3)</u> | Common Stock, \$0.001 par value per share | 45,000 |
| Warrant (right to buy) | \$ 0.9 ⁽⁴⁾ | | | | | 01/08/2015 | 01/08/2020 | Common Stock, | 22,500 |

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| | | | | | |
|---|-------------------|------------|----------------------------|---|---------|
| purchase Common Stock) | | | | \$0.001 par value per share | |
| Series C-3 Non-Voting Convertible Preferred Stock | \$ 1 | <u>(4)</u> | <u>(4)</u> | Common Stock, \$0.001 par value per share | 30,000 |
| Warrant (right to purchase Common Stock) | \$ 0.9 <u>(4)</u> | 01/08/2015 | 01/08/2020 | Common Stock, \$0.001 par value per share | 15,000 |
| Warrant (right to purchase Common Stock) | \$ 3.4375 | 08/20/2012 | 04/30/2015 ⁽¹⁰⁾ | Common Stock, \$0.001 par value per share | 5,000 |
| Stock Option (right to buy) | \$ 0.9 | <u>(5)</u> | 03/20/2023 | Common Stock, \$0.001 par value per share | 120,000 |
| Stock Option (right to buy) | \$ 0.68 | <u>(6)</u> | 12/05/2022 | Common Stock, \$0.001 par value per share | 150,000 |
| Stock Option (right to buy) | \$ 0.29 | <u>(7)</u> | 01/06/2022 | Common Stock, \$0.001 par value per share | 30,000 |
| Stock Option (right to buy) | \$ 1.1 | <u>(8)</u> | 08/11/2021 | Common Stock, \$0.001 par value per share | 30,000 |
| Warrant (right to purchase Common Stock) | \$ 3.4375 | <u>(9)</u> | 04/30/2015 ⁽¹⁰⁾ | Common Stock, \$0.001 par value per share | 19,530 |
| | \$ 3.4375 | <u>(9)</u> | 04/30/2015 ⁽¹⁰⁾ | | 7,900 |

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- (9) The warrants were issued as part of the Company's initial public offering in March 2010 and were exercisable beginning six months after the effective date of the Company's registration statement related thereto.
- (10) The expiration date was originally 3/24/2015 and was extended to 4/30/2015.
- (11) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices \$6.15 to \$6.17, inclusive.
- (12) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices \$6.15 to \$7.10, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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