

EASTMAN KODAK CO
Form 4
November 09, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MARX MOSES

2. Issuer Name and Ticker or Trading Symbol
EASTMAN KODAK CO [KODK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
160 BROADWAY

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/05/2015

____ Director 10% Owner
____ Officer (give title below) ____ Other (specify below)

NEW YORK, NY 10038

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect or Beneficial Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|------------------------------|
| | | | Code | V | Amount (A) or Price (D) | | | |
| Common Stock | 11/05/2015 | | J | | 15,000 A \$ 14 | 62,500 | I | By 111 John Realty Corp. (1) |
| Common Stock | 11/09/2015 | | J | | 7,500 A \$ 13.85 | 70,000 | I | By 111 John Realty Corp. (1) |
| Common Stock | 11/09/2015 | | J | | 7,500 A \$ 13.75 | 77,500 | I | By 111 John Realty Corp. (1) |
| Common Stock | | | | | | 246,574 | D | |

| | | | |
|--------------|--------------|---|---|
| Common Stock | 1,504,449.54 | I | By United Equities Commodities Company ⁽²⁾ |
| Common Stock | 6,030.75 | I | By Marneu Holding Company ⁽³⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 3) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| MARX MOSES 160 BROADWAY NEW YORK, NY 10038 | | X | | |

Signatures

/s/ Moses Marx 11/09/2015
 **Signature of Date
 Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person and his spouse jointly hold a 50% interest in 111 John Realty Corp. The number of securities beneficially owned differs from the number that would be reported in a Schedule 13D inasmuch as the reporting person has a pecuniary interest in fewer than all securities held by this entity.

(2) The reporting person is a 99% general partner of United Equities Commodities Company. The number of securities beneficially owned differs from the number reported in Schedule 13D filed by the reporting person inasmuch as the reporting person has a pecuniary interest in fewer than all securities held by this entity.

(3) The reporting person is a direct and indirect 75% holder of Marneu Holding Company. The number of securities beneficially owned differs from the number reported in Schedule 13D filed by the reporting person inasmuch as the reporting person has a pecuniary interest in fewer than all securities held by this entity.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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