Huertas Pedro Form 3 December 29, 2017

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person \*
 Huertas Pedro
(Last) (First) (Middle)

2. Date of Event Requiring
Statement
(Month/Day/Year)

12/20/2017

3. Issuer Name and Ticker or Trading Symbol
Eloxx Pharmaceuticals, Inc. [SVOND]

4. Relationship of Reporting
Person(s) to Issuer

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Person(s) to Issuer

5. If Amendement
Person(s) to Issuer

C/O ELOXX
PHARMACEUTICALS, (Check all applicable)

INC., 950 WINTER STREET, 4TH FLOOR NORTH

(Street)

\_\_\_\_ Director \_\_\_\_ 10% Owner
\_X\_ Officer \_\_\_ Other
(give title below) (specify below)
Chief Medical Officer

6. Individual or Joint/Group
Filing(Check Applicable Line)
\_X\_ Form filed by One Reporting

5. If Amendment, Date Original

Filed(Month/Day/Year)

Person

\_ Form filed by More than One

Reporting Person

WALTHAM, MAÂ 02451

(City) (State) (Zip)

1.Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Table I - Non-Derivative Securities Beneficially Owned

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5.
Conversion Ownership or Exercise Form of Price of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	05/01/2025	Common Stock	120,363 (4)	\$ 1 (4)	D	Â
Stock Option (Right to Buy)	(2)	01/24/2026	Common Stock	24,957 (4)	\$ 1 <u>(4)</u>	D	Â
Stock Option (Right to Buy)	(3)	07/16/2027	Common Stock	14,395 (4)	\$ 0.96 (4)	D	Â

## **Reporting Owners**

Reporting Owner Name / Address		Relationships				
<b>Fg</b>	Director	10% Owner	Officer	Other		
Huertas Pedro C/O ELOXX PHARMACEUTICALS, INC. 950 WINTER STREET, 4TH FLOOR NORTH WALTHAM Â MAÂ 02451	Â	Â	Chief Medical Officer	Â		

## **Signatures**

/s/ Pedro Huertas 12/29/2017

\*\*Signature of Person Date

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The option vests and become exercisable over a four-year period commencing on May 1, 2015, with twenty-five percent (25%) of the (1) shares of common stock underlying the options vested on May 1, 2016, and the remainder vesting in twelve equal installments at the end of each subsequent quarter over the course of three (3) years.
- (2) The option vests over a three-year period commencing on January 24, 2016 in twelve equal quarterly installments.
- (3) The option vests over a four-year period commencing on July 16, 2017 in sixteen equal quarterly installments.
- (4) Exercise price and number of option shares, as applicable, have been adjusted to reflect the Issuer's 1-for-20 reverse stock split of its issued and outstanding shares of common stock effected on December 19, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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