

TORTOISE PIPELINE & ENERGY FUND, INC.  
Form SC 13G/A  
February 16, 2016

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**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

**SCHEDULE 13G/A**

**Under the Securities Exchange Act of 1934**

**(Amendment No. 2)\***

**Tortoise Pipeline & Energy Fund, Inc.**

(Name of Issuer)

**Common Stock, par value \$0.0007 per share**  
(Title of Class of Securities)

**89148H108**  
(CUSIP Number)

December 31, 2015  
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- Rule 13d-1(b)
- Rule 13d-1(c)

o Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).



CUSIP No. 89148H108

**SCHEDULE 13G/A**

Page 2 of 15 Pages

NAME OF REPORTING PERSONS

1

Guggenheim Capital, LLC

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See Instructions)

2

(a)  (b)

SEC USE ONLY

3

CITIZENSHIP OR PLACE OF ORGANIZATION

4

Delaware

SOLE VOTING POWER

5

0

NUMBER OF  
SHARES  
BENEFICIALLY  
OWNED BY  
EACH  
REPORTING  
PERSON

SHARED VOTING POWER

6

634,086

SOLE DISPOSITIVE POWER

7

0

WITH

SHARED DISPOSITIVE POWER

8

634,086

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

634,086

10 CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (See Instructions)

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

6.33%

12 TYPE OF REPORTING PERSON (See Instructions)

HC



CUSIP No. 89148H108

**SCHEDULE 13G/A**

Page 3 of 15 Pages

NAME OF REPORTING PERSONS

1

Guggenheim Partners, LLC

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See Instructions)

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CITIZENSHIP OR PLACE OF ORGANIZATION

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634,086

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CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (See Instructions)

0

11

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

6.33%

12

TYPE OF REPORTING PERSON (See Instructions)

HC



CUSIP No. 89148H108

**SCHEDULE 13G/A**

Page 4 of 15 Pages

NAME OF REPORTING PERSONS

1

GI Holdco II LLC

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See Instructions)

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CITIZENSHIP OR PLACE OF ORGANIZATION

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SOLE VOTING POWER

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SOLE DISPOSITIVE POWER

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634,086

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12 TYPE OF REPORTING PERSON (See Instructions)

HC





CUSIP No. 89148H108

**SCHEDULE 13G/A**

Page 5 of 15 Pages

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1

GI Holdco LLC

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CITIZENSHIP OR PLACE OF ORGANIZATION

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Delaware

SOLE VOTING POWER

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634,086

SOLE DISPOSITIVE POWER

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AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

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PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

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TYPE OF REPORTING PERSON (See Instructions)

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**SCHEDULE 13G/A**

Page 6 of 15 Pages

NAME OF REPORTING PERSONS

1

Guggenheim Partners Investment Management Holdings, LLC

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634,086

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0

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PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

6.33%

12

TYPE OF REPORTING PERSON (See Instructions)

HC



CUSIP No. 89148H108

**SCHEDULE 13G/A**

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NAME OF REPORTING PERSONS

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Guggenheim Funds Services Holdings, LLC

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See  
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632,747

SOLE DISPOSITIVE POWER

7

0

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TYPE OF REPORTING PERSON (See Instructions)

HC



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**SCHEDULE 13G/A**

Page 8 of 15 Pages

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TYPE OF REPORTING PERSON (See Instructions)

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**SCHEDULE 13G/A**

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Guggenheim Funds Distributors, LLC

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TYPE OF REPORTING PERSON (See Instructions)

IA & BD



CUSIP No. 89148H108

**SCHEDULE 13G/A**