Edgar Filing: Ochrym Robert G - Form 4

Oshawa Dshart C

Form 4											
March 01, 20	ГЛ								OMB A	PPROVAL	
		D STATES		ITIES A hington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check thi if no long subject to Section 1 Form 4 o Form 5		SECUR	ITIES			NERSHIP OF e Act of 1934,	Estimated average burden hours per response 0.				
obligation may cont <i>See</i> Instru 1(b).	inue. Section 1			ility Hold vestment	•	· ·		1935 or Section 0	n		
(Print or Type F	Responses)										
1. Name and Address of Reporting Person <u>*</u> Ochrym Robert G			2. Issuer Name and Ticker or Trading Symbol AXT INC [AXTI]					5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) 3. Date (Month 4281 TECHNOLOGY DRIVE 02/26/ (Street) 4. If An			3. Date of Earliest Transaction (Month/Day/Year) 02/26/2010					(Check all applicable) <u></u> Director <u></u> 10% Owner <u></u> Officer (give title <u></u> Other (specify below) VP Business Development			
				Amendment, Date Original (Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Securi	ties Aca	Person uired, Disposed of	or Beneficial	lv Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)			med on Date, if	3. Transactio Code (Instr. 8)	4. Securi	ties Ac sposed	quired of (D)	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	02/26/2010			М	10,000	А	\$ 1.32	10,000	D		
Common Stock	02/26/2010			S	10,000	D	\$ 3.45	0	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Ochrym Robert G - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to purchase common stock	\$ 1.59	02/26/2010		М	10,000	06/01/2009	06/01/2014	Common stock	10,000

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Ochrym Robert G 4281 TECHNOLOGY DRIVE FREMONT, CA 94538			VP Business Development					
Signatures								
/s/ Robert Ochrym by power of attorney	(03/01/2010						
**Signature of Reporting Person		Date						

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.