Lucks John Form 4 September 09, 2011

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

Washington, D.C. 20549
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. *See* Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*
2. Issuer Name and Ticker or Trading
Lucks John
5. Relationship of Reporting Person(s) to
Issuer

Heritage-Crystal Clean, Inc. [HCCI] (Check all applicable)

(Check all application)
(Last) (First) (Middle) 3. Date of Earliest Transaction

C/O HERITAGE-CRYSTAL CLEAN, INC., 2175 POINT BOULEVARD, SUITE 375 
 (Month/Day/Year)
 \_\_\_\_\_ Director \_\_\_\_\_\_ 10% Owner

 03/25/2009
 \_\_\_\_\_ X\_\_\_ Officer (give title \_\_\_\_\_\_ Other (specify below)

 VP of Sales & Marketing

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person
\_\_\_ Form filed by More than One Reporting

**ELGIN, IL 60123** 

(City)	(State) (Z	Zip) Table	I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities on Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	09/07/2011		M	363	A	\$0	42,250	D	
Common Stock	09/07/2011		D	363	D	\$ 19	41,887	D	
Common Stock	09/08/2011		M	100	A	\$0	41,987	D	
Common Stock	09/08/2011		D	100	D	\$ 19	41,887	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**OMB APPROVAL** 

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	orDerivative E		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 7.33	03/25/2009		A	14,420		<u>(1)</u>	03/25/2019	Common Stock	14,420
Employee Stock Option (right to buy)	\$ 7.33	09/07/2011		M		363	<u>(1)</u>	03/25/2019	Common Stock	363
Employee Stock Option (right to buy)	\$ 7.33	09/08/2011		M		100	<u>(1)</u>	03/25/2019	Common Stock	100

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting owner runner runners	Director	10% Owner	Officer	Other			
Lucks John							
C/O HERITAGE-CRYSTAL CLEAN, INC.			VP of Sales				
2175 POINT BOULEVARD, SUITE 375			& Marketing				
ELGIN, IL 60123			_				

## **Signatures**

/s/ Samuel C. Schlessinger,
Attorney-in-Fact
09/09/2011

\*\*Signature of Reporting Person

Reporting Owners 2

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest 25% per year for four years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.