Edgar Filing: AMERIPRISE FINANCIAL INC - Form 4

| | | Luguri | | | | | | | | | |
|--|---|--|--|-----------------------|----------------|----------|------------------------|--|--|---|--|
| Form 4 June 03, 20 | | INC | | | | | | | | | |
| FORM | UNITED | | SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | PROVAL 3235-0287 | | |
| Check t if no lor subject Section Form 4 Form 5 | nger STATEN to STATEN 16. or | box STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | January 31, 2005 verage rs per 0.5 | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| CRACCHIOLO JAMES M Syml | | | | Symbol | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | AMERIPRISE FINANCIAL INC [AMP] | | | | | (Check all applicable) | | | | |
| | | | | (Month/Dav/Year) - | | | | _X_ Director10% Owner _X_ Officer (give titleOther (specify | | | |
| GENERAL COUNSEL'S 05/30/2013 below) below) OFFICE, 1098 AMERIPRISE 05/30/2013 Chairman and CEO FINANCIAL CENTER Chairman and CEO Chairman and CEO | | | | | | | | | | | |
| | | | | led(Month/Day/Year) A | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| MINNEAF | POLIS, MN 55474 | 4 | | | | | - | Form filed by Mo erson | | | |
| (City) | (State) | (Zip) | | | | | - | red, Disposed of, | | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution any | | | | |)) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common | 05/30/2013 | | | Code V M | Amount 100,000 | (D) A | Price \$ | 481,334 | D | | |
| Stock Common | 05/30/2013 | | | F | 76,985 | D | 43.655 \$ 83.6 | 404,349 | D | | |
| Stock Common | | | | | | | | | | | |
| Stock | 05/30/2013 | | | S | 11,507 | D | \$ 83.6 | 392,842 | D | | |
| Common Stock | | | | | | | | 1,385.22 | Ι | By 401(k) Plan (1) | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | orDeri Secu Acqu Disp | umber of vative rities uired (A) or osed of (D) r. 3, 4, and | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--------------------------------|---|--|--------------------|---|--------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amoun Numbe Shares |
| Employee Stock Option (Right to Buy) | \$ 43.655 | 05/30/2013 | | М | | 100,000 | 01/26/2010 | 01/27/2016 | Common Stock | 100,0 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| CRACCHIOLO JAMES M GENERAL COUNSEL'S OFFICE 1098 AMERIPRISE FINANCIAL CENTER MINNEAPOLIS, MN 55474 | X | | Chairman and CEO | | | | |
| Signatures | | | | | | | |
| /s/ David H. Weiser for James M. Cracchiolo | 06/03/2 | 013 | | | | | |
| **Signature of Reporting Person | Date | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Estimate of the number of shares held in the reporting person's account in the Ameriprise Financial Stock Fund under the Ameriprise (1)

(1) Financial 401(k) plan as of May 29, 2013. This plan uses unit accounting and the number of shares that a participant is deemed to hold varies with the price of Ameriprise stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.