AMERIPRISE FINANCIAL INC

Form 4

November 13, 2013

FORM 4 UNITED STATES SECURITIES AND EVCHANCE COMMISSION									OMB APPROVAL			
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMMISSION	OMB	3235-028			
Check t	Wa	ashingto	on, D.C. 20	0549		Number:	January 31					
subject Section	if no longer subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP O SECURITIES						ERSHIP OF	Expires: Estimated average burden hours per response				
Form 5 obligati may con See Inst 1(b).	ons ntinue. truction	(a) of the	Public U	Jtility H	olding Co	mpar	_	Act of 1934, 935 or Section		0.4		
		D *					_					
1. Name and Address of Reporting Person * Berman Walter Stanley								5. Relationship of Reporting Person(s) to Issuer				
			AMER [AMP]		FINANC	IAL :	(Check all applicable)					
(Last) GENERAI OFFICE, 1 FINANCIA						Director 10% Owner X Officer (give title Other (specify elow) below) Executive VP and CFO						
	Filed(Month/Day/Year) A					. Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person Form filed by More than One Reporting						
MINNEA	POLIS, MN 55474						F	erson	ne man One Rep	orting		
(City)	(State)	(Zip)	Tal	ble I - No	n-Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr. 3, 4 and 5)				r 5. Amount of Securities Beneficially Owned Following Reported Transaction(s (Instr. 3 and 4		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	11/11/2013			Code V	7 Amount 20,000	(D)	Price \$ 103.8886		D			
Common Stock							_	394.59	I	By 401(k) Plan (2)		
Reminder: Re	enort on a senarate line	e for each cl	lass of sec	curities he	neficially ox	med d	irectly or inc	lirectly				

Persons who respond to the collection of information contained in this form are not

required to respond unless the form

SEC 1474 (9-02)

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displays a currently valid OMB control number.

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

 Title of 	2.	3. Transaction Date	3A. Deemed	4.	5.	Date Exerc	cisable and	7. Titl	le and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Date		Amou	int of	Derivative	J
Security	or Exercise		any	Code	of			Under	lying	Security	,
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative			Securities (Instr. 3 and 4)		(Instr. 5)]
	Derivative				Securities						(
	Security				Acquired]	
					(A) or]
					Disposed						-
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
							Expiration Date	Title	Number		
									of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Berman Walter Stanley GENERAL COUNSEL'S OFFICE 1098 AMERIPRISE FINANCIAL CENTER MINNEAPOLIS, MN 55474

Executive VP and CFO

Signatures

/s/ Thomas R. Moore for Walter Stanley Berman

11/13/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects the weighted average price of 20,000 shares of common stock of Ameriprise Financial, Inc. sold by the reporting person in multiple transactions on November 11, 2013 with the sale prices ranging from \$104.02 to \$103.76 per share. The reporting person undertakes to provide upon request by the U. S. Securities and Exchange Commission staff, the issueer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- Estimate of number of shares held in the reporting person's account in the Ameriprise Financial Stock Fund under the Ameriprise (2) Financial 401(k) plan as of November 13, 2013. This plan uses unit accounting and the number of shares that a participant is deemed to hold varies with the price of Ameriprise stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2