Edgar Filing: Baim Michael - Form 4

Baim Michae	el								
Form 4									
February 12,	, 2019								
FORM	14								PPROVAL
	UNITED	STATES		RITIES A shington			COMMISSION	N OMB Number:	3235-0287
Check the								Expires:	January 31,
subject to	if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF					י ז	2005 average		
Section 1		SECURITIES						Estimated average burden hours per	
Form 4 o								response	•
Form 5 obligation	n c *						nge Act of 1934,		
may cont				•	•	- ·	of 1935 or Section	on	
See Instru 1(b).		30(h)	of the I	nvestment	: Compai	ny Act of 1	940		
(Print or Type F	Responses)								
1. Name and Address of Reporting Person <u>*</u> Baim Michael			2. Issuer Name and Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer			
			BIOANALYTICAL SYSTEMS INC [BASI]				C (Che	(Check all applicable)	
(Last)	(First) (Middle)	3. Date of	of Earliest T	ransaction		Director		% Owner
~ ~ ~ ~ ~ ~ ~ ~ ~				Day/Year)			XOfficer (giv below)	ve title Oth below)	ner (specify
	VALYTICAL SY KENT AVENUH		02/08/2	2019			· · · · · · · · · · · · · · · · · · ·	oanalytical Serv	rices
	(Street)		4. If Am	endment, D	ate Origina	ıl	6. Individual or .	Joint/Group Fili	ng(Check
			Filed(Month/Day/Year)				Applicable Line)		
							X Form filed by Form filed by	One Reporting P More than One R	
WEST LAFAYETT	ГЕ, IN 47906-13	82					Person	Wore than one R	eporting
(City)	(State)	(Zip)	Tab	ole I - Non-l	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned
1.Title of	2. Transaction Date	n Date 2A. Deemed		3. 4. Se		ies	5. Amount of	6. Ownership	7. Nature of
-	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)		TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)		Securities	Form: Direct	Indirect	
(Instr. 3)							· · · ·	(D) or Indirect (I)	Beneficial Ownership
		(WOIIII/Da	(Instr. 8)		(msu. 5, 4 and 5)			(I) (Instr. 4)	(Instr. 4)
						(A)	Reported		,
						or	Transaction(s) (Instr. 3 and 4)		
				Code V	Amount	(D) Price	(mou. 5 and 4)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number o orDerivative Securities Acquired (A or Disposed (D) (Instr. 3, 4, and 5)	Expiration 1 (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (I	D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 1.42	02/08/2019		А	15,000	<u>(1)</u>	02/08/2029	Common Shares	15,000	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Baim Michael C/O BIOANALYTICAL SYSTEMS, INC. 2701 KENT AVENUE WEST LAFAYETTE, IN 47906-1382			VP Bioanalytical	Services			
Signatures							
/s/ Jill C. Blumhoff, attorney-in-fact for Mic Baim	chael	02/12					
**Signature of Reporting Person		Da	ite				
Explanation of Responses:							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option becomes exercisable with respect to 40% of the shares on the first anniversary of the date of grant and with respect to an additional 30% of the shares on each of the second anniversary and the third anniversary of the date of grant, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.