Edgar Filing: Brautigan Bernard G. - Form 4

Brautigan Bernard G. Form 4 April 03, 2019									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or							OMB AF OMB Number: Expires: Estimated a burden hour response	•	
Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b). (Print or Type Responses)									
1. Name and Address of Reporting Per Brautigan Bernard G.	er Name and Ticker or Trading STORES INC [ROST]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Mic 5130 HACIENDA DRIVE	(Month/Day/Year)					Director 10% Owner X Officer (give title Other (specify below) below) President, Merchandising			
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
DUBLIN, CA 94568)					Person			
(City) (State) (Zi 1.Title of 2. Transaction Date 2	1 401	e I - Non-E 3.			-	ired, Disposed of,	or Beneficiall	y Owned 7. Nature of	
Security (Month/Day/Year) H (Instr. 3) a (ZA: Deemed Execution Date, if any Month/Day/Year)		4. Securit on(A) or Dis (Instr. 3, 4)	sposed	of (D) 5) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	o. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect	
Common 04/01/2019 Stock		F	18,600	D	\$ 94.26 \$	133,275 <u>(1)</u>	D		
Common 04/02/2019 Stock		S	19,384	D	\$ 93.56 (<u>2)</u>	113,891	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying tities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address			Relationships	
1 0	Director	10% Owner	Officer	Other
Brautigan Bernard G. 5130 HACIENDA DRIVE DUBLIN, CA 94568			President, Merchandising	
Signatures				
/s/ Michael Hartshorn for Bernard Brautigan		04	/03/2019	
**Signature of Reporting Person			Date	

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Securities Beneficially Owned includes shares acquired pursuant to issuer's employee stock purchase plan in a transaction exempt under Rule 16b-3.

The price reported is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$93.51 to \$93.61, inclusive. The reporting person undertakes to provide to Ross Stores, Inc., any security holder of Ross Stores, Inc., and to the staff of the

(2) Inclusive: The reporting person undertakes to provide to Ross stores, inc., any security holder or Ross stores, inc., and to the start of the Securities and Exchange Commission upon request, full information regarding the numbers of shares sold at each separate price within this price range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.