Steven Reinecke Form 4 June 09, 2006

# FORM 4

Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Alphatec Holdings, Inc. [ATEC]

3. Date of Earliest Transaction

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Steven Reinecke

(First) (Middle)

C/O ALPHATEC HOLDINGS. INC., 2051 PALOMAR AIRPORT **ROAD** 

(Street)

4. If Amendment, Date Original

(Month/Day/Year)

06/07/2006

Symbol

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading

Issuer

(Check all applicable)

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner Other (specify \_X\_\_ Officer (give title below)

6. Individual or Joint/Group Filing(Check

VP, Research & Development

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### CARLSBAD, CA 92011

(City)	(State) (Zip	Table I	- Non-Der	ivative Sec	curities	Acqui	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit or(A) or Di (D) (Instr. 3,	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock (3)	06/07/2006		C	44,625	A	<u>(2)</u>	44,625	D	
Common Stock	06/07/2006		J	558	A	<u>(4)</u>	45,183	D	
New Redeemable Preferred Stock	06/07/2006		J	481	A	<u>(4)</u>	481	D	
Common Stock	06/07/2006		P	1,111	A	\$9	46,294	D	

#### Edgar Filing: Steven Reinecke - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

8. P Der Sec (Ins

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A-1 Common Stock	(1)	06/07/2006		С		12,500	<u>(1)</u>	<u>(1)</u>	Common Stock	44,625

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Steven Reinecke

C/O ALPHATEC HOLDINGS, INC. 2051 PALOMAR AIRPORT ROAD CARLSBAD, CA 92011

VP, Research & Development

## **Signatures**

/s/ Daren Graham, Sttorney-in-fact 06/09/2006

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) There is no expiration date. Each share of Series A-1 Common Stock converted into common stock on a 3.57-for-1 basis effective upon the closing of the Issuer's initial public offering.
- (2) Each share of Series A-1 Common Stock converted into common stock on a 3.57-for-1 basis effective upon the closing of the Issuer's initial public offering.
- (3) These shares are subject to a 5-year lapsing repurchase right of the Issuer, which will lapse in annual installments on the anniversary of the grant date.

Reporting Owners 2

## Edgar Filing: Steven Reinecke - Form 4

(4) In payment of dividends accrued on the Series A-1 Common Stock, the Reporting Person received 558 shares of Common Stock, 481 shares of New Redeemable Preferred Stock and cash.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.