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THERMAGE INC

Form 3

November 09, 2006

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

À Bellahsene Bader

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

11/09/2006

THERMAGE INC [THRM]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O THERMAGE. (Check all applicable) INC., 25881 INDUSTRIAL

BOULEVARD

(Street)

10% Owner Director _X__ Officer Other

(give title below) (specify below) Vice President of R & D

6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

HAYWARD. CAÂ 94545

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial

Ownership Form: (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock 19,270

Â D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

2. Date Exercisable and **Expiration Date**

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

5. 4. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	(1)	11/22/2012	Common Stock	730 (2)	\$ 0.45	D	Â
Employee Stock Option (right to buy)	(3)	10/05/2014	Common Stock	10,000	\$ 1.9	D	Â
Employee Stock Option (right to buy)	(4)	10/05/2015	Common Stock	50,000	\$ 1.9	D	Â
Employee Stock Option (right to buy)	(5)	12/15/2015	Common Stock	70,000	\$ 1.9	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Bellahsene Bader					
C/O THERMAGE, INC.	â	â	Vice President of R & D	â	
25881 INDUSTRIAL BOULEVARD	A	Α	A vice President of R & D	А	
HAYWARD, CA 94545					

Signatures

/s/ Bader
Bellahsene

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option vests and the shares become exercisable according to the following schedule: 1/48 on December 31, 2002 and 1/48 on the last day of each full calendar month thereafter.
- The original option grant for 5,000 shares was partically exercised as follows: 624 shares on June 9, 2003, 105 shares on July 16, 2003, 625 shares on January 19, 2004, 312 shares on April 19, 2004, 313 shares on July 14, 2004 and 2,291 shares on May 2, 2006.
- (3) This option vests and the shares become exercisable according to the following schedule: 1/48 on August 31, 2004 and 1/48 on the last day of each full calendar month thereafter.
- (4) This option vests and the shares become exercisable according to the following schedule: 1/48 on September 30, 2005 and 1/48 on the last day of each full calendar month thereafter.
- (5) This option vests and the shares become exercisable according to the following schedule: 1/48 on January 31, 2006 and 1/48 on the last day of each full calendar month thereafter.

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Remarks:

The Date of Event is based upon the date of effectiveness of the Issuer's Registration Statement o

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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