### Edgar Filing: THERMAGE INC - Form 3

THERMAGE INC Form 3

November 09, 2006

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

SIECZKAREK MARK M

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

11/09/2006

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

THERMAGE INC [THRM]

(Check all applicable)

331 EAST EVELYN STREET

(Street)

4. Relationship of Reporting Person(s) to Issuer

Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

\_X\_ Director

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

**MOUNTAIN** VIEW, CAÂ 94041

> (City) (State)

1. Title of Security

(Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and

**Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable Date

Title

Amount or Number of Shares

Derivative Security: Security

Direct (D) or Indirect (I) (Instr. 5)

1

Edgar Filing: THERMAGE INC - Form 3

Director Stock Option (right to buy)

 $\hat{A}$   $\underline{^{(1)}}$ 

08/02/2016 Common

20,000

\$ 11

D Â

# **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

Director 10% Owner Officer Other

SIECZKAREK MARK M 331 EAST EVELYN STREET MOUNTAIN VIEW, CAÂ 94041

 $\hat{A}$  X  $\hat{A}$   $\hat{A}$   $\hat{A}$ 

# **Signatures**

/s/ Mark M. Sieczkarek

11/03/2006

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option vests and the shares become exercisable according to the following schedule: 1/36 on August 31, 2006 and 1/36th on the last day of each full calendar month thereafter.

Â

#### **Remarks:**

The Date of Event is based upon the date of effectiveness of the Issuer's Registration Statement o

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2