THERMAGE INC Form 4

November 16, 2006

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** 

3235-0287 Number: January 31, Expires:

**OMB APPROVAL** 

2005 0.5

Estimated average burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Byrnes Andrea F			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			THERMAGE INC [THRM]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	••			
6339 E. BLAIRWOOD LANE			(Month/Day/Year) 11/15/2006	Director X 10% Owner Officer (give title Other (specify below)			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
ORANGE, CA 92867			Filed(Month/Day/Year)  Applicable Line)  _X_ Form filed by One Reportin  Form filed by More than On Person				
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Ac	equired, Disposed of, or Beneficially Owne			

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secui	rities A	cquired, Dispose	d of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Di (D) (Instr. 3, 4	sposed	l of 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/15/2006		C	44,000	A	(1)	260,000	I (2)	By Byrnes 1998 Generational Trust
Common Stock							697,916	I (3)	By Byrnes Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: THERMAGE INC - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day/	ate	7. Title and Underlying (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series C Convertible Preferred Stock	<u>(4)</u>	11/15/2006		C	44,000	<u>(5)</u>	<u>(1)</u>	Common Stock	44,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
• •	Director	10% Owner	Officer	Other			
Byrnes Andrea F							
6339 E. BLAIRWOOD LANE		X					
ORANGE, CA 92867							

#### **Signatures**

/s/ Andrea F.
Byrnes

\*\*Signature of Reporting Person

11/15/2006

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Not applicable.
- These securities are held in a trust for the benefit of Ms. Brynes' children of which Ms. Byrnes serves as a trustee. Ms. Byrnes disclaims (2) beneficial ownership of these securities, and the filing of this report is not an admission that Ms. Byrnes is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.
- (3) Ms. Byrnes disclaims beneficial ownership of these securities except to the extent of her pecuniary interest therein.
- (**4**) 1-for-1.
- (5) Immediately.

#### **Remarks:**

The reporting person ceased to be a 10% owner as of November 15, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

#### Edgar Filing: THERMAGE INC - Form 4

ential persons who are to respond to the collection of information contained in this form are not required to respond unless the form disprently valid OMB number.	plays