

HASSELL GERALD L
Form 4
September 07, 2011

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HASSELL GERALD L

2. Issuer Name and Ticker or Trading Symbol
Bank of New York Mellon CORP [BK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
09/02/2011

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, President & CEO

THE BANK OF NEW YORK
MELLON CORPORATION, ONE
WALL STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

NEW YORK, NY 10286

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	09/02/2011		P	50,000 A \$ 20.0661 (1)	556,549.9507 (2)	D	
Common Stock	05/09/2011		G	V 20,902 D \$ 0	0	I	By GRAT 2009 (3)
Common Stock					92,882	I	By GRAT 2009-2

Edgar Filing: HASSELL GERALD L - Form 4

Common Stock		105,630	I	By GRAT 2010-1
Common Stock		120,000	I	By GRAT 2010-2
Common Stock		14,269	I	By Family Trust
Common Stock		14,269	I	By Second Family Trust
Common Stock		49,838.0309 <u>(4)</u>	I	By 401(k) Plan
Common Stock		56,604	I	By Wife <u>(5)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Transaction (Instr. 6)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V (A) (D)		

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

HASSELL GERALD L
THE BANK OF NEW YORK MELLON
CORPORATION
ONE WALL STREET
NEW YORK, NY 10286

X

Chairman, President &
CEO

Signatures

/s/ Arlie R. Nogay,
Attorney-in-Fact

09/07/2011

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
Represents the weighted average price of shares purchased with actual prices ranging from \$20.02 to \$20.09. Upon request by the SEC
- (1) staff, the issuer, or any security holder of the issuer, the reporting person will provide full information regarding the number of shares purchased at each separate price within this range.
- (2) Includes 44,363 shares, 62,369 shares and 44,370 shares previously held in GRAT 2009, GRAT 2009-2 and GRAT 2010-1, respectively, which were distributed to the Reporting Person and are held directly.
- (3) Upon termination of GRAT 2009, 20,902 shares were transferred to the beneficiaries of the GRAT and the remaining 44,363 shares were distributed to the Reporting Person and are reported as directly held.
- (4) Holdings reported as of 6/30/2011.
- (5) I disclaim beneficial ownership of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.