Contango ORE, Inc. Form 3 October 02, 2013

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF Expires: January 31, 2005

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Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Gaines Leah S

(Middle)

Statement

(Month/Day/Year)

10/01/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Contango ORE, Inc. [CTGO]

(Last) (First)

3700 BUFFALO SPEEDWAY, **SUITE 925**

(Street)

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Chief Financial Officer

Director _X__ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One

Reporting Person

HOUSTON, TXÂ 77098

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form: Direct (D)

or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Expiration Exercisable Date

Title

Amount or Number of

Shares

Derivative Security

Security: Direct (D) or Indirect

(I)

(Instr. 5)

Stock Option (Right to Buy)

(1)

09/25/2018 $15,000 \frac{(1)}{}$ $15,000 \frac{(1)}{}$ \$ 10.01

D Â

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Gaines Leah S

3700 BUFFALO SPEEDWAY, SUITE 925 Chief Financial Officer HOUSTON, TXÂ 77098

Signatures

Leah Gaines 10/02/2013

**Signature of Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 5,000 shares of the option grant vested on 9/25/2013, 5,000 shares of the option grant vest on 9/25/2014 and the remaining 5,000 shares of the option grant vest on 09/25/2015.

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Remarks:

Leah Gaines was appointed Vice President, Chief Financial Officer, Chief Accounting Officer, Treasure

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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