Edgar Filing: CENTRAL GARDEN & PET CO - Form 4

CENTRAL GARDEN & PET CO Form 4 December 24, 2013 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Reed Michael A Issuer Symbol **CENTRAL GARDEN & PET CO** (Check all applicable) [CENT] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify X_Officer (give title (Month/Day/Year) below) below) 1340 TREAT 12/20/2013 Executive Vice President **BOULEVARD, SUITE 600** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line) Filed(Month/Day/Year) _X_ Form filed by One Reporting Person Form filed by More than One Reporting WALNUT CREEK, CA 94597 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Class A Common 12/20/2013 Μ 20,000 A \$4.6 73,550 D Stock Class A F⁽¹⁾ Common 15.559 D 57,991 D 12/20/2013 6 59 Stock Class A Common 12/23/2013 Μ 20.000 A \$4.6 77,991 D Stock

 $F^{(1)}$

15.272 D

\$

62,719

Class A

12/23/2013

D

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Common Stock 6.78

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date7. Title and Amou Underlying Securi (Instr. 3 and 4)7. Title and Amou Underlying Securi (Instr. 3 and 4)		Securities D	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 4.6	12/20/2013		М	20,000	<u>(2)</u>	03/04/2014	Class A Common Stock	20,000
Stock Option (Right to Buy)	\$ 4.6	12/23/2013		М	20,000	(2)	03/04/2014	Class A Common Stock	20,000

Reporting Owners

Reporting Owner Name / Addr	ess		Relationships		
I B	Director	10% Owner	Officer	Other	
Reed Michael A 1340 TREAT BOULEVAR SUITE 600 WALNUT CREEK, CA 945	-		Executive Vice President		
Signatures					
/s/ Michael A.					
Reed	12/24/2013				

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Shares withheld by the Issuer in payment of the aggregate option exercise price and withholding tax liability incurred upon the(1) above-reported exercise of options. The amount of shares withheld is based on the respective average of the high and low sales prices on the date of exercise.

On March 4, 2008, the reporting person was granted an option to purchase 120,000 shares of Class A Common Stock of the Issuer. The option vested in five equal annual installments beginning March 4, 2009. Twenty percent of the option vested based on the satisfaction of certain annual performance targets for each of the fiscal years ending September 2008, 2009, 2010, 2011 and 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.