Edgar Filing: Nesi Victor - Form 4

Nesi Victor Form 4 November FORN Check ti if no lot subject Section Form 4 Form 5 obligati may con <i>See</i> Inst 1(b).	14, 2017 A UNITED this box nger to 16. or Filed pur ons ntinue.	MENT OF Cl rsuant to Sect (a) of the Publ	CURITIES AND EXCHANGE Washington, D.C. 20549 HANGES IN BENEFICIAL OW SECURITIES on 16(a) of the Securities Exchan- ic Utility Holding Company Act of the Investment Company Act of 19	W NERSHIP OF ge Act of 1934, of 1935 or Section	OMB Number: Expires: Estimated a burden hour response	•		
(Print or Type Responses) 1. Name and Address of Reporting Person <u>*</u> Nesi Victor			Symbol Issuer STIFEL FINANCIAL CORP [SF]			Reporting Person(s) to		
(Last) (First) (Middle) 787 7TH AVENUE			ate of Earliest Transaction nth/Day/Year) 10/2017	X Director X Officer (give below)	X Officer (give title Other (specify			
			Amendment, Date Original d(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting F Form filed by More than One F Person			rson		
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Ac		or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any (Month/Day/Ye	3.4. Securities Acquired (.ifTransaction Disposed of (D)Code(Instr. 3, 4 and 5)	 A) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) 	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	11/10/2017		S 24,888 D \$ 52.44	89 598	D			
Common Stock				4,088	Ι	by Trust		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Addre	ess	Relationships					
	Director	10% Owner	Officer	Other			
Nesi Victor 787 7TH AVENUE NEW YORK, NY 10019	Х		Director				
Signatures							
VICTOR J NESI	11/14/2017						
<u>**</u> Signature of	Date						

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Person