ALTRIA GROUP, INC.

Form 4 October 04, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person *

(First)

(Street)

6601 WEST BROAD STREET

KELLY ENNIS DEBRA J

2. Issuer Name and Ticker or Trading Symbol

(Middle)

ALTRIA GROUP, INC. [MO]

3. Date of Earliest Transaction (Month/Day/Year)

09/30/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

Estimated average

burden hours per

OMB APPROVAL

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

X_ Director 10% Owner Other (specify Officer (give title

below)

6. Individual or Joint/Group Filing(Check

Applicable Line) _X_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

RICHMOND, VA 23230

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported (A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

4. Securities

15,983 (1) D

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

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1. Title of	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)		4.		5. Number		6. Date Exercisable and		7. Title and Amount of		8. Price of
Derivative				Transactionof				Expiration D	ate	Underlying Securities		Derivative Security (Instr. 5)
Security				Code		Derivative Securities		(Month/Day/Year)		(Instr. 3 and 4)		
(Instr. 3)				(Instr. 8	8)							
	Derivative					Acqui	red					
	Security					(A) or						
						Dispo	sed					
						of (D)						
						(Instr.	3, 4,					
						and 5))					
				Code '	V	(A)	(D)	Date	Expiration	Title	Amount	
				0000	•	()	(2)	Exercisable	Date	11010	or	
											Number	
											of	
											Shares	
											Silares	
Phantom						125				C		¢ 62.21
Stock	<u>(2)</u>	09/30/2016		A		435		(4)	(4)	Common	435	\$ 63.22
Units				_		(3)		_	_	Stock		(5)
Omis												

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

KELLY ENNIS DEBRA J

6601 WEST BROAD STREET X

RICHMOND, VA 23230

Signatures

W. Hildebrandt Surgner, Jr. for Debra J. Kelly-Ennis

10/04/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total reflects 15,983 deferred shares held in the Stock Compensation Plan for Non-Employee Directors, including an increase of 128 shares acquired through the reinvestment of dividends since June 30, 2016, the date of the last reportable transaction.
- (2) Phantom Stock Units convert to the cash value of the issuer's common stock on a 1-for-1 basis.
- (3) These units were acquired on September 30, 2016 pursuant to the Deferred Fee Plan for Non-Employee Directors.
- (4) The participant will receive distributions of phantom stock units in cash either prior to or following termination of service as a member of the issuer's board of directors, as elected by the participant.
- (5) The average of the high and low price of Altria Group, Inc. common stock on September 30, 2016.
- Share equivalents held in the Deferred Fee Plan for Non-Employee Directors. This total includes the September 30, 2016 acquisition of (6) 435 share equivalents under the Deferred Fee Plan for Non-Employee Directors and 75 share equivalents acquired through the reinvestement of dividends since June 30, 2016, the date of the last reportable transaction.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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